

MINUTES
BOARD OF SUPERVISORS
COUNTY OF YORK

Regular Meeting
September 7, 2004

7:00 p.m.

Meeting Convened. A Regular Meeting of the York County Board of Supervisors was called to order at 7:00 p.m., Tuesday, September 7, 2004, in the Board Room, York Hall, by Chairman Thomas G. Shepperd, Jr.

Attendance. The following members of the Board of Supervisors were present: Walter C. Zarembo, Sheila S. Noll, Kenneth L. Bowman, James S. Burgett, and Thomas G. Shepperd, Jr.

Also in attendance were James O. McReynolds, County Administrator; J. Mark Carter, Assistant County Administrator; and James E. Barnett, County Attorney.

Invocation. Reverend David Spratley, Rising Sun Baptist Church, gave the Invocation.

Pledge of Allegiance to the Flag of the United States of America. Chairman Shepperd led the Pledge of Allegiance.

PRESENTATIONS

INTRODUCTION OF NEW MEMBERS TO YORK COUNTY BOARDS AND COMMISSIONS

Chairman Shepperd welcomed and introduced Paige R. Archer, Kathleen Manley, and Robert T. Neely as the most recently appointed members to the York County Historical Committee, and presented them with Boards and Commissions handbooks and York County pins.

EMPLOYEE RECOGNITION PROGRAM

Chairman Shepperd presented Nyokia Dandridge, Department of Community Services, with her 25-year service pin and certificate.

FOURTH OF JULY COMMITTEE

Mr. David Meredith, Chairman of the York County Fourth of July Committee, made a presentation regarding the responsibilities and activities of the committee, including the operation of the York County Museum. He introduced members of committee present, and on behalf of the committee presented the Board of Supervisors with a framed print copy of the Spirit of 76 painting. He noted that the committee had arranged to have the original on display in the Museum this year, and he asked that the print be hung in a place where it can be enjoyed by everyone.

Chairman Shepperd thanked the 4th of July Committee for the presentation, stating the print talks to the history of our area. He stated it is a wonderful present for the County, and the Board of Supervisors and citizens of the County appreciate the massive amount of work the committee does.

WETLANDS BOARD AWARD

Chairman Shepperd provided background on the "Telly Award" which was presented to the York County Wetlands Board for the development of the wetlands video. He then presented the award to the Chairman of the Wetlands Board, David Rolston, Board member Adam Frisch, and staff member Anna Drake.

CITIZENS COMMENT PERIOD

No one appeared to speak at this time.

COUNTY ATTORNEY REPORTS AND REQUESTS

Mr. Barnett indicated he had nothing to report at this time.

COUNTY ADMINISTRATOR REPORTS AND REQUESTS

Mr. McReynolds reported that the Route 17 improvement committee has been working on landscaping efforts with salvage yard operators, and expressed his hope that there will be visible results soon. He noted the committee is also working with architects for improvements to facades on Route 17, as well with property owners on the grant program to have their properties improved. Mr. McReynolds reminded the Board members of their 7:00 p.m. work session next week on September 14, and indicated staff had provided them with materials this evening for that work session. He stated the next Regular Meeting of the Board is scheduled for September 21, and a joint meeting with the Industrial Development Authority is scheduled at 6:00 p.m. on September 28 here at York Hall.

MATTERS PRESENTED BY THE BOARD

Mrs. Noll thanked the Celebrate Yorktown Committee for the August 28 concert, stating it was a great evening, the music was delightful, and the crowds were huge. Hats off to them for the work they do to give the citizens this free concert. She then noted that the Ferguson Center for Performing Arts is opening soon and will be a signature building for the Peninsula and a magnet for all those who love music, theater, and dance. Mrs. Noll stated she received a patch over the summer in recognition of the Department of Fire and Life Safety's 50th anniversary here in York County, and she expressed her appreciation for all the good works of that department. She also noted that the Telly Award to be presented this evening shows what volunteers can do, and everyone on the Wetlands Board is to be commended for producing this wonderful tool for other communities to use in the future. Mrs. Noll stated that Mr. McReynolds had mentioned the Route 17 committee, and she stated she was glad they are moving forward. As she travels to and through other jurisdictions, she stated she has come to realize that Butler buildings have no place on the County's main street. Lastly, Mrs. Noll noted that the 2007 Community Program Committee met two weeks ago, and it is moving forward. The schools and the libraries are doing a lot of work for the celebration, and it will be a wonderful celebration in 2007.

Mr. Bowman noted that it has been almost a year since Hurricane Isabel, and he indicated the County has some people who are participating in the recovery of Frances down in Florida, and he congratulated these personnel for their efforts. He then noted he is a sponsor for the Boys and Girls Club in York County, and he has taken it on as his personal project to see if it is needed in York County. He indicated he will conduct a town hall meeting on October 28 in the Grafton High/Middle School complex at 7:00 p.m. He indicated there is a steering committee in place, and he listed some of the things the Gloucester Boys and Girls Club has operating currently. Mr. Bowman then stated that Mr. Hudgins and his personnel get beat up all the time about drainage, and he wanted to publicly thank Tom Gallagher and Clem Morrissey for keeping those ditches open lately. He noted that the first meeting for the Comprehensive Plan review is scheduled at Magruder Elementary School on September 9, and all of the meetings will be from held from 4:00 to 7:00 p.m. There are 15 different sites and meetings to make it convenient for those who wish to be involved to attend and make their ideas known, and www.yorkcounty.gov/2025 is the website. Mr. Bowman then thanked the Zweibrücken Exchange Students who went to Germany and have sent wonderful cards and letters thanking the Board for its support. The group this year sincerely enjoyed their trip, and they feel like they have received some life-learning experiences.

Mr. Burgett stated he received the public schools and economic development document which Dr. Staples sent to the Board of Supervisors, and he acknowledged the importance that quality schools play in the life of York County residents. He stated no one appreciates York County schools more than this Board, and it has done everything it can to provide the school system with the funds it needs, and it has wonderful teachers. He stated the school system is a major player in the County's economic development. He then stated he had attended the Youth Commission orientation on August 27 for the new commissioners, stating it was exciting to see how interested and motivated these 15 students are. Tomorrow at 5:00 p.m. is their first meeting for the year, and he is looking forward to a real good year. Mr. Burgett then spoke concerning the County's ordinance on fences and the fact that it does not require a permit, yet it still requires that the front of the fence must face outward. He noted the ordinance is being worked on by Mr. Carter and should be forthcoming to the Board. He stated he feels the citizens should be allowed to place the front of their fences to face as they wish. Mr. Burgett then spoke regarding the proposed ordinance being drafted for scooters, and he stated he wished to see that ordinance adopted as soon as possible. He then cited an example he had experienced in rush hour traffic one afternoon when he encountered one of these scooters on Route 134. He stated other vehicles were going 55 miles per hour, and the young man had no helmet on, and they are not licensed to be on the street.

Mr. Zaremba stated he had attended the ribbon cutting ceremony for the \$3.5 million upgrade to Queens Lake Middle School, another facility of which parents, teachers, and students can be very proud. He spoke of how the Virginia Gazette continuously compares the James City County/Williamsburg students with York County students' test scores, and York County consistently does better. Mr. Zaremba then spoke concerning the Comprehensive Plan, stating it is the County's vision for the future. The Board is mandated by statute to review the plan every five years, and the Board is now in the front end of that cycle. He spoke of the necessity and importance for citizen involvement in the review process, and he encouraged citizens to attend the Review Committee's meetings and interact with the committee members. Regarding time-shares, Mr. Zaremba stated he has discussed whether or not the County is collecting lodging and sales tax from individuals who stay overnight or several days but do not have any ownership of the timeshare. The Board has discussed whether or not the timeshares are renting out on a nightly basis, and Mr. Zaremba stated he knows that one of the area timeshares actually advertises nightly rental, and the question is whether or not they are paying lodging tax. Mr. McReynolds has been tasked to find out if it is indeed being collected. Mr. Zaremba then mentioned that his family lost a friend last night to a massive heart attack, and York County's Fire and Life Safety personnel arrived on the scene within 5 minutes. Given the seriousness of his health problem, it was not soon enough. Mr. Zaremba stated the only problem with the County's system is that the 911 personnel could not give instructions over the phone because there was no Spanish language capability, and he stated he feels the Board needs to look at having some kind of a linguistic capability added to the 911 system.

Meeting Recessed. At 7:51 p.m. Chairman Shepperd declared a short recess.

Meeting Reconvened. At 8:00 p.m. the meeting was reconvened in open session by order of the Chair.

PUBLIC HEARINGS

APPLICATION NO. UP-640-04, KENNETH DALE MOORE

Mr. Carter made a presentation on Application No. UP-640-04 requesting a use permit to authorize the expansion of an existing mini-storage warehouse facility located at 2360 Hampton Highway onto an adjacent 1.23-acre portion of property currently occupied by the Wash Moore car wash facility. The Planning Commission considered the application and forwarded it to the Board of Supervisors with a recommendation of approval, and staff recommended approval of the application through the adoption of proposed Resolution R04-133.

Mrs. Noll noted that at the Planning Commission meeting Mr. Barba raised the question as to whether or not the buildings will all be the same color, and she asked if the issue had been resolved.

Mr. Carter stated the staff has not had any additional discussion with Mr. Moore, but they will follow up with him.

Mr. Burgett asked what had happened to the landscaping that was supposed to mask the current facility from Route 134.

Mr. Carter explained that after the application was approved Hurricane Isabel struck, and trees were lost on the frontage. After a site visit by staff where the field conditions were compared with what the landscaping was before Isabel, additional landscaping was discussed. He stated some additional trees and shrubs were installed replacing everything that was lost.

Mr. Burgett noted that the greenbelt ordinance calls for a 35-foot buffer, and the replacement trees do not meet that standard.

Mr. Carter stated that until December of 2003 that requirement was not in the ordinance, and the replacement was made according to the original use permit.

Mr. Zaremba noted that nothing in the Planning Commission minutes indicated any opposition, and he asked if there was any.

Mr. Carter stated there was no opposition to the application at the Planning Commission hearing.

Chairman Shepperd noted the application calls for no entry to the site from Big Bethel Road, and he asked if that was a County condition.

Mr. Carter indicated it is a condition proposed by the applicant for control. He noted there will be emergency service access.

Mr. Dale Moore, the applicant, indicated he was present to answer any questions or concerns the Board might have. He stated he would be very happy to talk with Mr. Burgett about the landscaping issue, noting this was the first that he had heard of a problem. He stated he wished he did not have to be here tonight, but his car wash business did not make it because of its location. Mr. Moore stated he has talked with Mr. Kotarides of the adjacent apartments and the owner of the one residential house, and they both have no opposition to the proposal. He stated the owner of the house did ask that the current fence remain where it is separating the properties because he has oriented his back yard along the fence.

A brief discussion took place concerning the color of the buildings, and Mr. Moore indicated it was his intention for all the buildings to look compatible. Further discussion took place regarding the lack of landscaping, and Mr. Moore indicated he had done all that was required of him, but he would consider installing more landscaping if necessary.

Chairman Shepperd called to order a public hearing on Application No. UP-640-04 which was duly advertised as required by law. Proposed Resolution R04-133 is entitled:

A RESOLUTION TO APPROVE A SPECIAL USE PERMIT TO AUTHORIZE THE EXPANSION OF A MINI-STORAGE WAREHOUSE FACILITY LOCATED AT 2360 HAMPTON HIGHWAY ONTO AN ADJACENT 1.23-ACRE PORTION OF A 2.34-ACRE PARCEL LOCATED AT 3010 BIG BETHEL ROAD

There being no one present who wished to speak concerning the subject application, Chairman Shepperd closed the public hearing.

Discussion followed on the possibility of amending the use permit to require more landscaping of the site, but the Board made no changes.

Mr. Burgett then moved the adoption of proposed Resolution R04-133 that reads:

A RESOLUTION TO APPROVE A SPECIAL USE PERMIT TO AUTHORIZE THE EXPANSION OF A MINI-STORAGE WAREHOUSE FACILITY LOCATED AT 2360 HAMPTON HIGHWAY ONTO AN ADJACENT 1.23-ACRE PORTION OF A 2.34-ACRE PARCEL LOCATED AT 3010 BIG BETHEL ROAD

WHEREAS, Kenneth Dale Moore has submitted Application No. UP-640-04, which requests a Special Use Permit, pursuant to Section 24.1-306 (Category 14, No. 6) of the York County Zoning Ordinance, to authorize the expansion of an existing mini-storage warehouse facility located at 2360 Hampton Highway (Route 134) onto a 1.23-acre portion of a 2.34-acre parcel of land located at 3010 Big Bethel Road (Route 600) and further identified as Assessor's Parcel No. 37-158B; and

WHEREAS, said application has been referred to the York County Planning Commission in accordance with applicable procedure; and

WHEREAS, the Planning Commission recommends approval of this application; and

WHEREAS, the York County Board of Supervisors has conducted a duly advertised public hearing on this application; and

WHEREAS, the Board has carefully considered the public comments and Planning Commission recommendation with respect to this application;

NOW, THEREFORE, BE IT RESOLVED by the York County Board of Supervisors this the 7th day of September, 2004, that Application No. UP-640-04 be, and it is hereby, approved to authorize the expansion of an existing mini-storage warehouse facility located at 2360 Hampton Highway (Route 134) subject to the following conditions:

1. This use permit shall authorize the expansion of an existing mini-storage warehouse facility located at 2360 Hampton Highway (Route 134) onto an adjacent 1.23-acre portion of a 2.34-acre parcel of land located at 3010 Big Bethel Road (Route 600) and further identified as Assessor's Parcel No. 37-158B.
2. A site plan prepared in accordance with the provisions of Article V of the York County Zoning Ordinance shall be submitted to and approved by the York County Department of Environmental and Development Services, Division of Development and Compliance, prior to the commencement of any construction or land disturbing activities on the site. Except as modified herein, said site plan shall be in substantial conformance with the sketch plan entitled "Preliminary Sketch: Expansion of Stor Moore 4," prepared by Campbell Land Surveying, Inc. and dated June 22, 2004.
3. The mini-storage warehouse facility shall be developed and operated in accordance with the standards set forth in Section 24.1-483, Standards for all wholesaling and warehouse uses, and Section 24.1-484, Standards for mini-storage warehouses, of the York County Zoning Ordinance.
4. Building additions that would expand existing warehouses to a length greater than 200 feet shall not be permitted.
5. A Type 25 (twenty-five-foot) transitional buffer shall be maintained along the entire rear portion of the property adjacent to the Belmont Apartment complex.
6. The hours of operation of the facility shall be limited to 6:00 a.m. to 9:00 p.m. daily.
7. All outward-facing walls shall be constructed of split-face block and shall be compatible in color and architecture with the adjacent Wash-Moore car wash facility and Belmont Apartment complex.

8. No additional freestanding signage for the mini-storage warehouse facility shall be permitted.
9. The development shall be limited to a maximum of 28,160 net square feet of rentable space.
10. All warehouse doors shall face the inside of the mini-storage warehouse development.
11. Fencing shall be of a black, wrought iron style. The use of chain link or similar theft-deterrent wire (i.e., barbed wire, razor wire, etc.) anywhere on the property shall not be permitted.
12. Building height shall be no greater than fifteen feet (15'), as measured from the finish grade, except for any gables on the decorative facade, which may be no greater than thirty feet (30'), as measured from the finish grade.
13. The applicant shall be responsible for compliance with the regulations in Section 24.1-115(b)(6) of the Zoning Ordinance that pertain to the recordation of this resolution in the office of the Clerk of the Circuit Court.

On roll call the vote was:

Yea: (5) Zaremba, Noll, Bowman, Burgett, Shepperd
 Nay: (0)

APPLICATION NO. UP-642-04, CARPE P.M., INC., ALLEN G. ENNIS and TIEN KIM LE

Mr. Carter made a presentation on Application No. UP-642-04 requesting a use permit to authorize a nightclub to be operated as part of a planned restaurant to be located at 264A and 264B McLaws Circle within the Festival Marketplace Shopping Center. The Planning Commission considered the application and forwarded it to the Board of Supervisors with a recommendation of approval, and staff recommended approval of the application through the adoption of proposed Resolution R04-134.

Mr. Burgett asked what the occupancy will be, stating at the Planning Commission meeting the staff did not know.

Mr. Carter stated the final building inspections have not been made, but the building official says the restaurant occupancy is 112 people, and somewhat greater with the tables pushed aside. After consultation with the applicant and the fire marshal, staff will determine occupancy load prior to issuing a certificate of occupancy.

Chairman Shepperd asked what kind of input came from Sheriff's Office pertaining to the possibility of increased crime.

Mr. Carter stated he was not aware of any specific communication with the Sheriff's Office.

Mr. Zaremba indicated tragedies with nightclubs are read about all the time because of the lack of fire fighting equipment and a requisite number of exits. He asked if staff has looked at that and are satisfied that the County's ordinances are met.

Mr. Carter stated on a preliminary basis the building official says it is acceptable for a restaurant. The building official and fire marshal will review the facility before the occupancy permits are issued.

Discussion followed about the number of nightclubs in the County and in James City and Williamsburg and the need for such a facility.

Mr. Allen Ennis, the applicant, provided an overview of the plans for the location. He stated it will cater to a more mature crowd, and he is planning to have a restaurant during the day and in the evening, and he will hopefully have a dance floor at night that will cater to a local crowd. He stated there be no live entertainment and no disc jockey. Occupancy will be around 100-112. Mr. Ennis stated that security will be provided by off-duty state troopers inside and out of the facility.

Mr. Zaremba and Mr. Bowman asked Mr. Ennis about several aspects of the application, including his previous experience with restaurant management, the planned music to be played in the evening, and his liquor license.

Chairman Shepperd then called to order a public hearing on Application No. UP-642-04 which was duly advertised as required by law. Proposed Resolution R04-134 is entitled:

A RESOLUTION TO APPROVE A SPECIAL USE PERMIT TO AUTHORIZE A NIGHTCLUB/RESTAURANT AT 264A AND 264B MCLAWS CIRCLE WITHIN THE FESTIVAL MARKETPLACE SHOPPING CENTER

There being no one present who wished to speak concerning the subject application, Chairman Shepperd closed the public hearing.

Mrs. Noll moved the adoption of proposed Resolution R04-134 that reads:

A RESOLUTION TO APPROVE A SPECIAL USE PERMIT TO AUTHORIZE A NIGHTCLUB/RESTAURANT AT 264A AND 264B MCLAWS CIRCLE WITHIN THE FESTIVAL MARKETPLACE SHOPPING CENTER

WHEREAS, Allen G. Ennis and Tien Kim Le, Carpe P.M., Inc have submitted Application No. UP-642-04, which requests a Special Use Permit, pursuant to Section 24.1-306 (Category 11, No. 18) of the York County Zoning Ordinance, to authorize a 1,970-square foot restaurant and nightclub within the Festival Marketplace shopping center located at 264A and 264B McLaws Circle, on the east side of McLaws Circle approximately 200 feet south of its intersection with Busch Way, and further identified as Assessor's Parcel No. 15-4-3A; and

WHEREAS, said application has been referred to the York County Planning Commission in accordance with applicable procedure; and

WHEREAS, the Planning Commission recommends approval of this application; and

WHEREAS, the York County Board of Supervisors has conducted a duly advertised public hearing on this application; and

WHEREAS, the Board has carefully considered the public comments and Planning Commission recommendation with respect to this application;

NOW, THEREFORE, BE IT RESOLVED by the York County Board of Supervisors this the 7th day of September, 2004, that Application No. UP-642-04 be, and it is hereby, approved to authorize a 1,970-square foot restaurant and nightclub within the Festival Marketplace shopping center located at 264A and 264B McLaws Circle, on the east side of McLaws Circle approximately 200 feet south of its intersection with Busch Way, and further identified as Assessor's Parcel No. 15-4-3A subject to the following conditions:

1. This use permit shall authorize a 1,970-square foot restaurant and nightclub located within the Festival Marketplace shopping center located at 264A and 264B McLaws Circle and further identified as Assessor's Parcel No. 15-4-3A.
2. A site plan, prepared in accordance with the provisions of Article V of the York County Zoning Ordinance, shall be submitted to and approved by the County prior to the establishment of the proposed nightclub. Said site plan shall be in substantial confor-

mance with the sketch plan submitted by the applicant and received by the Planning Division on July 1, 2004, except as modified herein or as may be necessary to comply with site plan review requirements.

3. At time of site plan submittal, a detailed parking tabulation for the shopping center shall be submitted to and approved by Environmental and Development Services. The tabulation shall take into account all current uses as well as the proposed use with their corresponding parking requirements.
4. Noise from the proposed use shall be contained within the subject shopping center units, and noise shall not be audible outside the confines of the units.
5. The hours of operation of the facility shall be limited to the period between 11:00 a.m. and 2:00 a.m. daily.
6. There shall be no loitering of patrons outside the facility or in the adjacent parking lot, and the applicant shall be responsible for monitoring the immediate area to prevent loitering.
7. Parking lot lighting shall remain active until at least one hour after closing time for the facility.
8. The applicant shall be responsible for removal of any trash or debris from its portion of the parking lot daily.
9. In accordance with Section 24.1-115(b)(7) of the York County Zoning Ordinance, a certified copy of the resolution authorizing this Special Use Permit shall be recorded at the expense of the applicant in the name of the property owner as grantor in the office of the Clerk of the Circuit Court.

On roll call the vote was:

Yea: (5) Noll, Bowman, Burgett, Zaremba, Shepperd
Nay: (0)

PURCHASING POLICY

Mr. McReynolds made a presentation on proposed Ordinance No. 04-22(R) to amend the York County Purchasing Policy to bring it into conformance with action taken by the 2004 General Assembly to amend the Public Private Education Facilities and Infrastructure Act (PPEA).

Chairman Shepperd called to order a public hearing on proposed Ordinance No. 04-22(R) which was duly advertised as required by law and is entitled:

AN ORDINANCE TO AMEND THE CENTRALIZED PURCHASING POLICY FOR YORK COUNTY

There being no one present who wished to speak concerning the subject ordinance, Chairman Shepperd closed the public hearing.

Mrs. Noll moved the adoption of proposed Ordinance No. 04-22(R) that reads:

AN ORDINANCE TO AMEND THE CENTRALIZED PURCHASING POLICY FOR YORK COUNTY

WHEREAS, Sections 15.2-1231 and 2.2-4343 of the Code of Virginia authorized the Board of Supervisors to provide for the centralized competitive purchasing of all supplies, equipment, materials and commodities for all departments, officers, and employees of the County, to include the County School Board and the Board of Public Welfare or Social Services;

NOW, THEREFORE, BE IT ORDAINED by the York County Board of Supervisors this 7th day of September, 2004, that the centralized procurement policy for all goods and services for the County of York, as adopted October 16, 2001, be and it is hereby amended to read and provide as follows:

ARTICLE I. PURPOSE, DEFINITIONS, APPLICATION OF POLICY

1-1. Purpose.

The purpose of this policy is to increase public confidence in purchasing by York County, to provide for fair and equitable treatment of all persons involved in public purchasing by the County, to maximize the purchasing value of public funds, to foster competition in the procurement process to the maximum feasible extent, and to provide for a centralized purchasing system of quality and integrity for the County.

1-2. Effective Date.

This policy shall be in effect immediately upon its passage.

1-3. Authority.

This policy is adopted pursuant to §§ 2.2-4343(10) and 15.2-1231 of the Code of Virginia and is intended to supersede the operation of the Virginia Public Procurement Act as it applies to the procurement actions of the departments, agencies, officers, and employees subject to this policy. Those sections set forth in § 2.2-4343(12) of the Code of Virginia which, by law apply to all counties, are incorporated herein or are listed in an appendix to this policy for convenience. No other provisions of the Virginia Public Procurement Act shall apply unless specifically incorporated in this policy.

1-4. Definitions.

For purposes of this policy the following words and phrases shall have the meanings set forth below:

- (a) Affiliate - means an individual or business that controls, is controlled by, or is under common control with another individual or business. A person controls an entity if the person owns, directly or indirectly, more than 10 percent of the voting securities of the entity. For the purposes of this definition "voting security" means a security that (i) confers upon the holder the right to vote for the election of members of the board of directors or similar governing body of the business or (ii) is convertible into, or entitles the holder to receive, upon its exercise, a security that confers such a right to vote. A general partnership interest shall be deemed to be a voting security.
- (b) Approving Authority - "Approving Authority" shall mean the Board of Supervisors or the County School Board or the York-Poquoson Social Services Board.
- (c) Best Value - "Best value," as predetermined in the solicitation, means the overall combination of quality, price, and various elements of required services that in total are optimal relative to a public body's needs.
- (d) Board or Board of Supervisors - "Board" or "Board of Supervisors" shall mean the Board of Supervisors of York County, Virginia.
- (e) Business - means any type of corporation, partnership, limited liability company, association, or sole proprietorship operated for profit.
- (f) Competitive Negotiation - Competitive negotiation is a method of procurement, which consists of the following elements:

1. Issuance of a written request for proposal indicating in general terms

what is sought to be procured and containing or incorporating by reference the other applicable contractual terms and conditions including any unique capabilities or qualifications which will be required of the offeror.

2. Public notice of the request for proposal at least ten (10) calendar days prior to the date set for receipt of proposals by posting at the entrance to the Division of Purchasing for York County and by publication in at least one newspaper of general circulation in York County. In addition, proposals may be solicited directly from potential offerors.
3. On the basis of the evaluation factors established by this policy and by the request for proposal, at least three (3) offerors deemed to be the most qualified, responsible and suitable on the basis of initial responses shall be selected. (If less than three (3) proposals are received, then less than three (3) offerors may be so selected.) Individual discussions shall then be had with each such offeror.

Review of the proposals submitted and discussions with offerors shall be conducted by a panel established by the using agency of not less than three County representatives that shall include one representative of the Purchasing Division designated by the Purchasing Agent.

Repetitive informal interviews shall be permissible. Offerors shall be encouraged to elaborate on their qualifications, scope of work, performance data, or expertise pertinent to the proposed project as well as any alternative concepts. These discussions may encompass non-binding estimates of total project costs, including, where appropriate, design, construction, and life cycle costs. Methods to be used in arriving at a price for services may also be discussed. Proprietary information from competing offerors shall not be disclosed to the public or to competitors. Price of service may be discussed and considered but will not be the sole determining factor in concluding negotiations.

After negotiations have been conducted with each offeror so selected, the offeror shall be selected which in the opinion of the panel has made the best proposal and the contract shall be offered to that offeror. Should the panel, after the initial submission of proposals, determine in writing that only one offeror is fully qualified, or that one offeror is clearly more qualified than the others under consideration, a contract may be negotiated and awarded to that offeror without further delay. A copy of such written determination shall be provided to the Purchasing Agent and to the approving authority when approval by other than the Purchasing Agent is required.

When the terms and conditions of multiple awards are so provided for in the request for proposal, awards may be made to more than one offeror.

(Note that the procedure for competitive negotiation for the procurement of professional services over \$30,000 differs from the one defined here. See Section 2-3(f) of this Policy.)

- (g) Competitive Sealed Bidding - Competitive sealed bidding is a method of procurement which includes the following elements:

1. Issuance of a written invitation to bid containing or incorporating by reference specifications and contractual terms and conditions applicable to the procurement. When it is impractical to prepare initially a purchase description to support an award based on price, an invitation to bid may be issued requesting submission of unpriced offers to be followed by an invitation to bid limited to those bidders whose offers have been qualified under the criteria set forth in the first solicitation.

2. Public notice of the invitation to bid at least ten (10) calendar days prior to the date set for receipt of bids by posting at the entrance to the Division of Purchasing for York County and by publication in at least one newspaper of general circulation in York County. In addition, bids may be solicited directly from potential offerors.
 3. Public opening and announcement of all bids received.
 4. Evaluation of the bids based on requirements set forth in the invitation and the provisions of this policy.
 5. Award to the lowest responsive and responsible bidder. When the terms and conditions of multiple bids are so provided for in the invitation to bid, awards may be made to more than one bidder. In the event only one bid is received and the Purchasing Agent in consultation with the using agency makes a determination that it would not be in the best interest of the County to re-bid the procurement, such determination shall be in writing and shall be provided to the approving authority when approval by other than the Purchasing Agent is required.
- (h) Construction – “Construction” shall mean building, altering, repairing, improving or demolishing any structure, building, or roadway, and any draining, dredging, excavation, grading or similar work upon real property.
- (i) County – “County” shall mean the County of York, Virginia, a political subdivision of the Commonwealth of Virginia, and shall include all other departments, public bodies corporate, agencies, sanitary districts and officers of the County to which this policy applies. It shall also include any other entity that has chosen to participate in this policy and has entered into a cooperative procurement agreement with the County.
- (j) County Administrator – “County Administrator” shall mean the County Administrator of York County, or, a duly authorized designee.
- (k) Goods – “Goods” shall mean all material, equipment, supplies, printing, and automated data processing hardware and software.
- (l) Offeror – “Offeror” unless expressly indicated otherwise, shall mean both a bidder, i.e., a person who submits a competitive sealed bid in response to an Invitation to Bid, or a person who submits a proposal in response to a Request for Proposals.
- (m) Purchasing Agent – “Purchasing Agent” shall mean the County Administrator of York County, Virginia, or any person designated by the County Administrator to implement all or a portion of this policy.
- (n) Reverse Auctioning - means a procurement method wherein bidders are invited to bid on specified goods or nonprofessional services through real-time electronic bidding, with the award being made to the lowest responsive and responsible bidder. During the bidding process, bidders' prices are revealed and bidders shall have the opportunity to modify their bid prices for the duration of the time period established for bid opening.
- (o) Services – “Services” shall mean any work performed by an independent contractor wherein the service rendered does not consist primarily of the acquisition of equipment or materials or the rental of equipment, materials, or supplies.
- (p) Using Agency – “Using Agency” shall mean any officer, employee, or other entity of the County requiring any goods, services, insurance, or construction to be procured under the policies and procedures established by this policy.

1-5. Application of Policy.

- (a) This policy is intended to provide for centralized competitive purchasing and covers all purchasing by all departments, officers, elements and employees of the County, including specifically, but not limited to, the York County School Board, the York-Poquoson Department of Social Services, Constitutional Officers, and the York County Library. This policy is not intended to require review or approval by the Board of specific items procured by the York County School Board, the York-Poquoson Department of Social Services, the Constitutional Officers, the York County Library, or public bodies who have by agreement become subject to this policy, when sufficient funds have been appropriated to such entity or officer for the purpose of the procurement and this policy has been followed. The Purchasing Agent with regard to such entities and officers shall, however, by signing all purchase orders for such procurements prior to the placement of a firm order, certify compliance with this policy and procedures issued pursuant to it. The Purchasing Agent shall not execute a purchase order if such procurement has not been in compliance with this policy and the procedures developed pursuant to it.
- (b) This policy shall apply to all purchases or contracts for the purpose of procuring goods, services, insurance, and construction involving the expenditure of public funds.
- (c) When any procurement involves the expenditure of state or federal assistance, grant, loan, or contract funds the procurement shall be conducted in accordance with any mandatory federal or state requirements which are not reflected in this policy if the receipt of such funds is conditioned upon compliance with the mandatory procedures.
- (d) This policy shall not prohibit compliance with the terms and conditions of any grant, gift, or bequest that are otherwise consistent with law.
- (e) This policy shall not apply to contracts existing on its effective date and such contracts may be performed or extended according to their terms.

1-6. Cooperative Procurement.

The Purchasing Agent may administer cooperative procurement agreements with public bodies not otherwise covered by this policy, subject to the terms and conditions of such agreement as may be authorized by the Board. Except for contracts for professional services, the County may purchase from another public body's contract even if it did not participate in the request for proposal or invitation to bid, if the request for proposal or invitation to bid specified that the procurement was being conducted on behalf of other public bodies. Any public body that enters into a cooperative procurement agreement with a county, city, or town whose governing body has adopted alternative policies and procedures pursuant to subdivisions 9 and 10 of § 2.2-4343 shall comply with the alternative policies and procedures adopted by the governing body of such county, city, or town.

1-7. Contracts or Purchases Made in Violation of this Policy.

Except as provided herein, no official, elected or appointed, nor any employee, shall purchase or contract for any goods, services, insurance, or construction. Any purchase or contract made contrary to the provisions of this policy shall be void, and the County will not be bound thereby. Any person who makes such a procurement or disposition may be personally liable therefore to the vendor or purchaser of the goods, services, or construction involved.

ARTICLE II. PURCHASING AGENT; COMPETITION REQUIREMENTS**2-1. Delegation of Authority to Purchasing Agent.**

The Purchasing Agent shall serve as the principal public purchasing official for the County and

shall be responsible, under the supervision of the Board, for the procurement of all goods, services, insurance, and construction as well as the management and disposal of surplus materials. The Purchasing Agent may delegate authority to a duly authorized agent or agents. The authority of the Purchasing Agent shall specifically, but without limitation, include the authority to select the method of procurement to be used and the authority to negotiate and execute contracts on behalf of the County for any and all procurements or for the disposition of materials. The Purchasing Agent shall conduct all purchasing activities in accordance with the provisions of this policy. The Purchasing Agent may not delegate approval of the use of competitive negotiation, as required by Subparagraph (h) of Section 2-3 of this policy, except in the case of purchases not exceeding \$5,000.

2-2. Adoption of Procedures.

The Purchasing Agent shall prepare forms and administrative regulations for the purpose of implementing the provisions of this policy. Such forms and regulations shall be deemed a part of this policy and shall direct the actions of those to whom this policy applies. A copy of such forms and administrative regulations shall be provided to the Board annually, along with a summary of any administrative changes made during the preceding year. The Board shall approve the promulgation of those regulations.

2-3. Competition Requirements.

Prior to any purchase of goods, insurance, services or construction, reasonable price competition is desired. Except as otherwise provided in this policy the competitive pricing methods set forth below shall be followed:

- (a) For purchases in the amount of \$1,000 or less, prices are to be compared by telephone, catalogue, or by other appropriate means. No permanent documentation of price comparison is required.
- (b) For purchases in excess of \$1,000 and not greater than \$5,000, telephone calls shall be placed to at least two suppliers of the item. At a minimum, oral quotes shall be obtained and written documentation of the telephone solicitation shall be made, showing the item requested, date, time, company name, price quoted, and individual making quote. Written requests for quotation may also be used.
- (c) For purchases in excess of \$5,000 but not greater than \$15,000, at least three (3) suppliers shall be contacted. Telephone or written requests for quotations may be used.
- (d) For purchases in excess of \$15,000 but not greater than \$30,000, written quotes are required and, at least three (3) suppliers shall be contacted. Written requests for quotations may be used and must be posted on the County's Purchasing web-site.
- (e) When a procurement transaction is made under (a), (b), or (c) above, the purchase shall be made from the supplier quoting the lowest price, or best value, unless the Purchasing Agent, using the evaluation factors in this policy for competitive negotiation or competitive sealed bidding as the case may be, documents in writing a determination that, in the best interest of the County, such purchase should be made from another supplier quoting a higher price. Such determination for purchases in excess of \$5,000 shall be approved by the County Administrator or the approving authority's designee.
- (f) Purchases that in the aggregate or in the sum of all phases are expected to be in excess of \$30,000 shall be made by either competitive sealed bidding or competitive negotiation and must be posted on both the County's web-site and the Commonwealth's procurement web-site (eVA).
- (g) Purchases of professional services, as that term is defined in § 2.2-4301 of the Code of Virginia, when the cost of such services is expected to exceed \$30,000,

shall be made in accordance with subparagraph 3.a. under the definition of competitive negotiation as set forth in § 2.2-4301 of the Code of Virginia.

- (h) The Purchasing Agent shall have the authority to use competitive negotiation to procure any goods, services or insurance, after documenting in advance the basis therefore in writing, as required by § 2.2-4303.C., Code of Virginia. Insurance may be procured through a licensed agent or broker selected in the manner provided for the procurement of things other than professional services in subdivision 3(b) of the definition of “competitive negotiation” in § 2.2-4301, Code of Virginia, if the basis for doing so is approved by the Board. Construction may be procured only by competitive sealed bidding, except that competitive negotiation may be used in the following instances upon a determination made in advance by the Purchasing Agent in writing, after making the findings required by § 2.2-4303(D), Code of Virginia:
 - (1) contracts for the alteration, repair, renovation or demolition of buildings when the cost of the contract will not exceed \$500,000; or
 - (2) contracts for the construction of highways and any draining, dredging, excavation, grading or similar work upon real property.
- (i) The purchase of goods or nonprofessional services, but not construction or professional services, may be made by reverse auctioning. However, bulk purchases of commodities used in road and highway construction and maintenance, and aggregates shall not be made by reverse auctioning.

Nothing in this policy shall prevent the use of competitive sealed bidding or competitive negotiation in procurements under \$30,000, if deemed appropriate by the Purchasing Agent.

2-4. Exceptions to Competition Requirements.

Section 2-3 of this policy shall not apply and no price competition other than specified in this section is required in the following procurement transactions:

- (a) The purchase of items under procurement contracts made available to the County by the Commonwealth of Virginia or to purchases made available to the County through other state, federal, or public entities;
- (b) Contracts for legal services, expert witnesses, and other services associated with litigation, regulatory proceedings, or other legal matters;
- (c) Purchases for special police work when the Sheriff certifies that the purchases are needed for undercover law enforcement operations;
- (d) Contracts and purchases by the Industrial Development Authority with respect to any item of cost of an “authority facility” or “facilities” as defined in § 15.2-4902 of the Code of Virginia;
- (e) Upon a determination by the Purchasing Agent in writing, which writing shall state the basis for such determination, that there is only one source practicably available for that which is to be procured, a contract may be negotiated and awarded to that source without competition. The Purchasing Agent shall conduct appropriate negotiations as to price, delivery, and terms. A record of the sole source procurement shall be maintained listing the contractor’s name, the amount, and qualifying circumstances. The Purchasing Agent shall provide a copy of the written determination to the County Administrator or other appropriate Approving Authority when approval is required by Section 3-17 of this policy.
- (f) In cases of emergency provided, however, such procurement shall be made with such competition as is practicable under the circumstances. A written state-

ment by the Purchasing Agent of the basis for the emergency and for the selection of the particular supplier shall be included in the papers relating to the procurement. The Purchasing Agent shall develop appropriate procedures regarding emergency purchases. The term "emergency" as used in this subparagraph means a situation where there exists a threat to public health, welfare, or safety or when an unforeseen circumstance causes disruption of an essential service.

- (g) Agreements or contracts entered into between the County and private parties for cost participation or cost sharing in the extension or construction of public utilities or the provision of other public services. Any such agreements must be approved by the Board.
- (h) Travel advances, travel reimbursements, or travel expenses;
- (i) Meals, beverages, entertainment, awards, or similar purchases in conjunction with official county functions or meetings.
- (j) Payments for services to jurors, board and commission members, sports officials, and medical examiners;
- (k) Contracts for local telephone service (excepting cellular and paging services), or other regulated utility services;
- (l) Interdepartmental or interagency expenses or purchases;
- (m) Contracts of employment;
- (n) Advertising and legal notices;
- (o) Dues and subscriptions;
- (p) Employee educational expenses;
- (q) Textbooks, library books, and other library items for circulation to, or use by students, acquired by the public schools;
- (r) Public library books and other library items for circulation to, or use by the public;
- (s) Services rendered to or payments received by clients of the Department of Social Services;
- (t) Foster home placements;
- (u) Treatment services provided to clients by the Department of Community Services;
- (v) Clinical supervision services for counselors in County employment;
- (w) Occupational therapy, physical therapy, student evaluations;
- (x) Mail and mail-related costs (e.g. postage meter expense, stamps, etc.);
- (y) Instructional/specialty educational materials, promotional items, Crafts.

2-5. Purchases at Auction.

Notwithstanding any other provision of this policy, upon a determination by the Purchasing Agent that the purchase of certain designated goods from a public auction sale is in the best interest of the County, such items may be purchased at auction sale. The Purchasing Agent

shall document the basis for any such determination.

2-6. Documentation Required.

Documentation of competitive pricing or other documentation required by this policy, including a complete copy of the solicitation for competitive bids or proposals, shall be retained along with other papers related to the procurement in the office of the Division of Purchasing. Such documentation shall be retained until the acquisition of goods is completed or the services have been rendered and after such time may be destroyed in accordance with County and State procedures governing records retention.

ARTICLE III. ADMINISTRATIVE PROVISIONS

3-1. Division of Requirements.

No using agency shall artificially divide contract requirements so as to avoid any dollar limitations set forth in this policy.

3-2. Bid List.

The County does not accept the responsibility for maintaining a bid list or the responsibility for the failure of any competitor to receive a solicitation directly from the County. The Purchasing Agent, may for the convenience of the County, maintains a bidders list containing the names of prospective offerors. The maintenance of such list shall not be construed as the acceptance of an obligation to notify any or all of the prospective offerors on such list of procurement transactions by the County.

3-3. Cost Plus Percentage of Cost Contracts Prohibited.

Except in the case of an emergency affecting the public health, safety or welfare, no contract shall be awarded on the basis of cost plus a percentage of cost. This paragraph shall not apply to contracts of insurance. Public contracts may be awarded on any other basis.

3-4. Modification of Contracts.

Contracts entered into by the County may include provisions for modification of the contract during performance but no fixed price contract may be increased by more than 10 percent of the amount of the contract or \$30,000, whichever is greater, without prior approval by the Board or other approving authority. Following such approval the Contract price will consist of the original Contract amount plus all such approved modifications. The time of performance for any contract shall not be extended by more than 20 percent of the original term of the contract or thirty (30) days, whichever is greater, without prior approval by the Board or other Approving Authority. Any such modifications shall not exceed appropriations available for the project. In no event may the amount of any contract, without adequate consideration, be increased for any purpose, including, but not limited to, relief of an offeror from the consequences of an error in its bid or offer.

3-5. Prequalification of Offerors.

The Purchasing Agent may pre-qualify prospective offerors for any solicitation. Consideration of bids or proposals may be limited to pre-qualified offerors. The opportunity to pre-qualify shall be given to any prospective offeror who has not been suspended or debarred under this policy.

- (a) Pre-qualification of prospective contractors for construction. If the Purchasing Agent chooses to pre-qualify prospective contractors for construction, an application form shall be used which sets forth the criteria upon which the qualifications of prospective contractors will be evaluated. The application form shall request of prospective contractors only such information and documents as are appropriate for an objective evaluation of all prospective contractors pursuant to such criteria.

The form shall contain a box, which the prospective contractor may check to request that all information submitted by the contractor in connection with the pre-qualification process shall be deemed a trade secret or proprietary information pursuant to subdivision B 55 of §2.2-4342(F), Code of Virginia. In all instances in which the Purchasing Agent requires pre-qualification of potential contractors for construction projects, advance notice shall be given of the deadline for the submission of pre-qualification applications. The deadline for submission shall be sufficiently in advance of the date set for the submission of bids for such construction so as to allow the procedures set forth in this section to be accomplished. At least thirty (30) days prior to the date established for submission of bids or proposals under the procurement of the contract for which the pre-qualification applies, the Purchasing Agent shall advise in writing each contractor which submitted an application whether that contractor has been pre-qualified. In the event that a contractor is denied pre-qualification, the written notification to such contractor shall state the reasons for such denial of pre-qualification and the factual basis of such reasons. The Purchasing Agent may deny pre-qualification to any contractor only if the Agent finds one of the following:

- (1) The contractor does not have sufficient financial ability to perform the contract that would result from such procurement. If a bond is required to ensure performance of a contract, evidence that the contractor can acquire a surety bond from a corporation included on the United States Treasury list of acceptable surety corporations in the amount and type required by the public body shall be sufficient to establish the financial ability of such contractor to perform the contract resulting from such procurement;
- (2) The contractor does not have appropriate experience to perform the construction project in question;
- (3) The contractor has had judgments entered against him for the breach of contracts for construction;
- (4) The contractor has been in substantial noncompliance with the terms and conditions of prior construction contracts with the County without good cause. If the County has not contracted with a contractor in any prior construction contracts, the Purchasing Agent may deny prequalification if the contractor has been in substantial noncompliance with the terms and conditions of comparable construction contracts with another locality without good cause. In all instances, any such substantial non-compliance shall be documented;
- (5) The contractor has been convicted within the past five years of a felony involving moral turpitude regarding any procurement of or performance of a construction contract;
- (6) The contractor failed to provide to the Purchasing Agent in a timely manner any information requested relevant to subdivisions 1 through 5 above.

(b) Pre-qualification for prospective offerors for other than construction solicitations.

If the Purchasing Agent chooses to prequalify prospective offerors for procurements for other than construction, the procedure set forth in subsection (a) above shall be followed, except that reasonable notice in writing of less than thirty (30) days prior to the date established for the submission of bids or proposals may be given to prospective offerors as to whether they are deemed pre-qualified or not. The Purchasing Agent may include in the application form for

the pre-qualification of prospective offerors of this type reasonable criteria in addition to those set forth in subsection (a) above for the pre-qualification evaluation.

(c) Pre-qualification generally.

In pre-qualifying offerors pursuant to either (a) or (b) above:

- (1) Pre-qualification of a prospective offeror shall not constitute a conclusive determination that a offeror is responsible, and such offeror may be rejected as not responsible on the basis of subsequently discovered information.
- (2) The failure of a prospective offeror to pre-qualify with respect to a given procurement shall not bar the offeror from seeking pre-qualification as to future procurements or from bidding or submitting proposals on procurements which do not require pre-qualification.

A decision by the Purchasing Agent denying pre-qualification shall be final and conclusive unless the offeror appeals the decision by instituting legal action pursuant to § 2.2-4364, Code of Virginia.

3-6. Pre-Bid Conferences.

When deemed necessary by the Purchasing Agent, a pre-bid conference with prospective bidders may be held after draft specifications have been prepared. Such conferences are for the purpose of detecting unclear provisions and tend to widen competition by removing unnecessarily restrictive language. After such conference the final specifications shall be prepared.

3-7. Comments or Questions Regarding Invitations for Bid or Requests for Proposal.

Once invitations to bid or requests for proposal have been advertised, should a prospective offeror find any discrepancy in, or omissions from, the specifications, request for proposal, or other contract documents, or should he be in doubt as to their meaning, he shall at once notify the specified contact person who will send written instructions to all bidders. The County will not be responsible for any oral instructions.

3-8. Bonds.

In addition to any bonds which may be required by state law, the Purchasing Agent may, in the Agent's sole discretion, require a bid, performance, or payment bond or other specified surety arrangement in any procurement solicitation, provided that for construction or renovation contracts in excess of \$100,000, performance and payment bonds shall be required in the amount of the contract. The requirement for such surety shall be clearly stated in the solicitation.

3-9. Offeror's Responsibilities.

By submitting a bid or proposal an offeror agrees and warrants that it has examined all contract documents and, if appropriate, the subject of the contract, and where the specifications require a given result to be produced, that the specifications are adequate and the required results can be produced under the specifications in the contract. Omissions from the specifications shall not relieve the offeror from the responsibility of complying with the general terms and intent of the contract as indicated by the specifications. Once the award has been made, failure to have read all the conditions, instructions, and specifications of the contract will not be cause to alter the original contract or proposal, or for the offeror to request additional compensation.

3-10. Signatures on Offers or Bids.

The firm, corporation, or individual name of the offeror must be signed to any proposals or bids submitted. In the case of a corporation, the title of the officer signing must be stated and each

officer must be duly authorized. In the case of a partnership, the signature of at least one of the partners must follow the firm name using the term "member of the firm" or "general partner."

3-11. Withdrawal or Cancellation of Bids.

Except as provided in the second paragraph of this section, an offeror may withdraw or cancel a bid or proposal at any time prior to the date set for opening. After such time the offeror or bidder may not withdraw for a period of sixty (60) calendar days. Any offeror may be required to clarify its offer or bid or acknowledge by written confirmation that the minimum requirements of the specifications are included in the offeror's proposal.

The withdrawal of bids for construction contracts shall be handled in the manner specified in the advertisement for bids in accordance with the provisions of § 2.2-4330.A. of the Code of Virginia.

3-12. Evaluation of Sealed Bids.

When competitive sealed bidding is used, the following factors shall be considered, in addition to price, when determining the lowest responsible bidder and the responsiveness of the bid:

- (a) The ability, capacity, and skill of the bidder to perform the contract or provide the service required.
- (b) Whether the bidder can perform the contract or provide the service promptly, or within the time specified, without delay or interference.
- (c) The character, integrity, reputation, judgment, experience, and efficiency of the bidder.
- (d) The quality of performance of previous contracts or services.
- (e) The previous and existing compliance by the bidder with laws and ordinances relating to the contract or service.
- (f) The sufficiency of financial resources and ability of the bidder to perform the contract or provide the service.
- (g) The quality, availability and adaptability of the goods or services to the particular use required.
- (h) The ability of the bidder to perform future maintenance and service for use of the subject of the contract.
- (i) The number and scope of conditions attached to the bid.
- (j) Any other condition or criteria included in the request for bids or the instructions to bidders.

3-13. Evaluation of Proposals Under Competitive Negotiation.

When competitive negotiation is the method of procurement selected, the following factors shall be considered in a descending order of importance in determining the most qualified firm or individual:

- (a) Any special qualifications or requirements set forth in the proposal documents.
- (b) Qualifications of the project manager and project teams.
- (c) Overall qualifications and experience of the firm and any subcontractors to be used.

- (d) Quality of the content of the proposal and its responsiveness to the request for proposal.
- (e) The sufficiency of financial resources and ability of the bidder to perform the contract or provide the services.
- (f) Financial ability of the firm to perform future maintenance and service for the subject of the contract.
- (g) The location of the office that will have the responsibility for providing the services and the ability of the offeror to respond quickly to requests and requirements of the County.
- (h) Cost estimates (which may or may not be required at the time of submission of the proposal, depending upon the circumstances).

3-14. Tie Bids.

If more than one bid or proposal received is for the same total amount or unit price, quality and service being equal, the award shall be decided by lot unless goods, services and construction produced in the County or provided by persons, firms or corporations having principal places of business in the County, if such a choice is available; unless § 2.2-4324 applies.

3-15. Negotiations When Bids Exceed Available Funds.

If the lowest acceptable bid exceeds available funds, the Purchasing Agent may negotiate with the bidder to obtain a contract price within available funds. The negotiations shall be confined to a reduction in the contract price and shall not deal with changes in the contract requirements.

3-16. Cancellation or Rejection of Bids.

An invitation to bid, a request for proposal, any other solicitation, or any and all bids or proposals received may be canceled or rejected when the Purchasing Agent determines that it is in the best interest of the County to do so. The reasons therefore shall be made a part of the contract file. Any bid which is incomplete, conditional, obscure, or which is not in conformance with the specifications may be rejected or any such irregularities may be waived at the option of the Purchasing Agent provided they do not affect the price, quality, quantity, or delivery schedule for the goods, services, or construction to be procured.

No bidder shall be permitted to alter, modify or amend its bid after the time fixed for submission of bids, except as provided in Section 3-11 of this Policy.

No bid received after the time fixed for submission of bids shall be opened or considered.

No statement or notation whatsoever, written, printed, typed or otherwise set out on any bid or offer envelope, including any addition or deduction in contract price, shall be recognized or considered in the review and tabulation of any bid or offer or for any other purpose.

3-17. Approvals.

Except as provided for emergency purchases, all purchases in excess of \$10,000 shall be specifically approved by the County Administrator, or the Approving Authority's designee, prior to the placement of a firm order. Purchases in excess of \$30,000 shall be specifically approved by the Board of Supervisors or the appropriate Approving Authority prior to placement of a firm order (excepting the purchase of vehicles for the Department of General Services' Vehicle & Equipment Maintenance Division, wherein the cost of a single unit does not exceed \$30,000; such purchases, subject to fund availability, may be made without Approving Authority). Emergency purchases may be approved after the fact. The request for approval shall identify the method of price competition used in the procurement.

This policy is not intended to require review or approval by the Board of specific items procured by the York County School Board, the York-Poquoson Department of Social Services, the Constitutional Officers, the York County Library, or public bodies who have by agreement become subject to this policy, when sufficient funds have been appropriated to such entity or officer for the purpose of the procurement and this policy has been followed. The Purchasing Agent with regard to such entities and officers shall, however, by signing all purchase orders for such procurements prior to the placement of a firm order, certify compliance with this policy and procedures issued pursuant to it. The Purchasing Agent shall not execute a purchase order if such procurement has not been in compliance with this policy and the procedures developed pursuant to it.

3-18. Contract Requirements and Legal Review.

The terms and conditions of procurements in excess of \$15,000 shall be reviewed and approved as to form by the County Attorney prior to issuance by the Purchasing Agent. Contracts signed by all parties and containing, or incorporating by reference, all applicable terms and conditions shall be required for procurements of services or construction in excess of \$25,000.

Formal Invitations for Bids (IFB) and Requests for Proposals (RFP) for procurement of construction or services, when required, shall be reviewed by the County Attorney prior to advertising. Whenever a contract, signed by all parties and containing, or incorporating by reference, all applicable terms and conditions is to be used in a procurement, it and all amendments and changes thereto shall be approved as to form by the County Attorney.

3-19. Decisions of Purchasing Agent or Board Final.

All offerors are subject to the decision of the Purchasing Agent as to the quality of what is offered, responsiveness of the offer, responsibility of the offeror, and the qualifications of the offeror. The Purchasing Agent will evaluate bids or proposals and in all cases the decision made shall be final. Every offeror submitting a bid or proposal agrees, as a condition precedent to the submission, to abide by the decisions of such official and all of the provisions of this policy.

Following execution of a contract as the result of a competitive negotiation, an unsuccessful offeror may request a "de-briefing" of a specific procurement action. Such request shall be submitted in writing to the Purchasing Agent not more than thirty (30) days following the action of the approving authority. The Purchasing Agent shall meet with the offeror within fifteen (15) days of the request to discuss how the offeror may improve proposals for future work with the County.

3-20. Debarment and Suspension.

After giving fifteen (15) days' written notice and providing an opportunity to be heard, the Purchasing Agent, after consultation with the County Attorney, is authorized to debar any offeror for cause from consideration for the award of contracts. The debarment shall not be for a period of more than three (3) years.

After consultation with the County Attorney, the Purchasing Agent is authorized to suspend an offeror from consideration for the award of contract if there is probable cause to believe that the offeror has engaged in any activity that might lead to debarment. The suspension shall not be for a period exceeding three (3) months. Notice of any debarment or suspension shall be provided to the Board, and to the contractor, stating the reasons for the action taken.

The causes for any such debarment or suspension may include, but are not necessarily limited to, the following:

- (a) Conviction for commission of a criminal offense as an incident to obtaining or attempting to obtain a public or private contract or subcontract or in the performance of such contract or subcontract;
- (b) Conviction under state or federal statutes of embezzlement, theft, forgery, brib-

ery, falsification or destruction of records, receiving stolen property, or any other offense of moral turpitude indicating a lack of business or personal integrity or honesty which currently, seriously, and directly affects responsibility as a County offeror;

- (c) Conviction under state or federal antitrust statutes arising out of the submission of bids or proposals;
- (d) Violation of contract provisions of a character which is regarded by the Purchasing Agent to be so serious as to justify a debarment action including, but not limited to:
 - (1) Deliberate failure without good cause to perform in accordance with the specifications or within the time limit provided in a contract with the County; or
 - (2) A record of failure to perform or of unsatisfactory performance in accordance with the terms of one or more contracts.
- (e) Any other cause the Purchasing Agent determines to be so serious and compelling as to affect responsibility as a County contractor including debarment by another governmental entity.

3-21. Freedom of Information Act.

With the following exceptions, procurement documents are subject to the Virginia Freedom of Information Act:

- (a) Cost estimates relating to a proposed procurement transaction prepared by or for the County shall not be open to public inspection.
- (b) Bid and proposal records shall be open to public inspection only after award of the contract. Any bidder or offeror may be allowed to inspect the bid or proposal records after bid opening or after the evaluation and negotiation of proposals are completed, and prior to award unless the County decides not to accept any bids or not to accept any of the proposals and to reopen the contract.
- (c) Trade secrets or proprietary information submitted to the County are not subject to disclosure if requested by the person submitting such information prior to or upon submission of the data or other materials. Any such request must identify what is to be protected and state the reasons therefor.
- (d) Any inspection of procurement transaction records under this section shall be subject to reasonable restrictions imposed by the Purchasing Agent to insure security and integrity of the records.

3-22. Claims.

Contract claims, if not otherwise provided for in such contract, shall be submitted to the Board in accordance with the provisions of §§ 15.2-1245, et seq., Code of Virginia, or, if appropriate, to the County School Board pursuant to §§ 22.1-122, and 15.2-1245, et seq., Code of Virginia, mutatis mutandis.

ARTICLE IV. DISPOSITION OF SURPLUS PROPERTY.

4-1. Sale of Surplus Property.

All using agencies shall, upon request, submit to the Purchasing Agent a report of all surplus, worn out, or obsolete items, which should be disposed of. The Purchasing Agent shall have the authority to transfer such surplus stock to other using agencies. All property not so transferred shall be exchanged, traded in on new items, salvaged, or sold as deemed appropriate by

the Purchasing Agent. Notwithstanding the foregoing, any library books purchased for circulation by the York County public Library which have been declared to be surplus by the York County Librarian may be donated by the Librarian to the York County Friends of the Library, or to any similar organization the main function of which is to support the activities of the York County public library system. The Librarian shall keep a record of all books so donated for review upon request by the Purchasing Agent.

In general, sales may be made at public auction, after prior advertisement in a newspaper of general circulation in the County of York, or sold on an appropriate web-site (at the sole discretion of the Purchasing Agent) to the highest bidder. Individual items may be scrapped, if in the opinion of the Purchasing Agent, the cost of storage and sale exceeds the value of the item. If the value of any individual item is estimated to exceed \$10,000, sealed bids shall be solicited by public notice inserted at least once in a newspaper of general circulation in York County at least ten (10) calendar days prior to the final date for the submission of sealed bids. Bids may also be solicited for the disposition of any surplus item if the Purchasing Agent determines it to be in the best interest of the County. The Board, upon the request of the Purchasing Agent, may make a special dispensation of any individual item if, in the opinion of the Board, such dispensation is in the public interest.

4-2. Participation at County Auctions.

County and School employees are prohibited from bidding on surplus property sold at auction (traditional auction or on-line). Purchasing staff are prohibited from purchasing any surplus property whatever disposal method is used.

ARTICLE V. PUBLIC-PRIVATE EDUCATION FACILITIES AND INFRASTRUCTURE ACT

5-1 Introduction

The Public-Private Education Facilities and Infrastructure Act of 2002 (the "PPEA") grants the County of York (the "County"), a responsible public entity as defined in the PPEA, the authority to enter public-private agreements for the development of a wide range of projects for public use if the County determines there is a public need for the project and that private involvement may provide the project to the public in a timely or cost-effective fashion. Individually negotiated comprehensive agreements between an operator, as defined in the PPEA, and the County will define the respective rights and obligations of the County and the private operator. Although guidance with regard to the application of the PPEA is provided herein, it will be incumbent upon the County and all private entities to comply with the provisions of the PPEA.

In order for a project to come under the PPEA, it must meet the definition of a "qualifying project." The PPEA contains a broad definition of qualifying project that includes public buildings and facilities of all types; for example:

- (a) An education facility, including, but not limited to, a school building (including any stadium or other facility primarily used for school events), any functionally-related and subordinate facility and land to a school building, and any depreciable property provided for use in a school facility that is operated as part of the public school system or as an institution of higher education;
- (b) A building or facility for principal use by any public entity;
- (c) Improvements, together with equipment, necessary to enhance public safety and security of buildings to be principally used by a public entity;
- (d) Utility and telecommunications and other communications infrastructure;
- (e) A recreational facility;; or
- (f) Certain service contracts.

The PPEA establishes requirements to which the County must adhere when reviewing and approving proposals received pursuant to the PPEA. In addition, the PPEA specifies the criteria that must be used to select a proposal and the contents of the comprehensive agreement detailing the relationship between the County and the private entity.

The County Administrator is authorized to designate a working group to be responsible for evaluating proposals and negotiating the comprehensive agreement. In the case of any project proposed for use by the York County School Board, the working group shall include representatives of the School Division.

The individual designated by the County Administrator to serve as the point of contact for implementation of procedures, to receive proposals submitted under the PPEA and to respond to inquiries regarding the PPEA or this adopted policy shall be the designated County Purchasing Agent.

5-2. General Provisions

- (a) Proposal Submission - A proposal may be either solicited by the County or delivered by a private entity on an unsolicited basis. In either case, the proposal shall be clearly identified as a "PPEA Proposal". To be considered, one original and nine (9) copies of any unsolicited proposal must be submitted along with the applicable fee to the Purchasing Agent, 120 Alexander Hamilton Boulevard, P.O. Box 532, 23690, Yorktown, Virginia. Proposers may be required to follow a two-part proposal submission process consisting of a conceptual phase and a detailed phase, as described herein. The County may discontinue its evaluation of any proposal at any time during the conceptual or detailed phase.

The PPEA allows private entities to include innovative financing methods, including the imposition of user fees or service payments, in a proposal. Such financing arrangements may include the issuance of debt instruments, equity securities, or other securities or obligations. Proposals may include, if applicable, the portion of the tax-exempt private activity bond limitation amount to be allocated annually to the Commonwealth of Virginia pursuant to the Economic Growth and Tax Relief Reconciliation Act of 2001 for the development of education facilities using public-private partnerships.

Proposals should be prepared simply and economically, providing a concise description of the proposer's capabilities to complete the proposed qualifying project and the benefits to be derived from the project by the County. Project benefits to be considered are those occurring during the construction, renovation, expansion or improvement phase and during the life cycle of the project. Proposals also should include a comprehensive scope of work and a financial plan for the project, containing enough detail to allow an analysis by the County of the financial feasibility of the proposed project. Any facility, building, infrastructure, or improvement included in a proposal shall be identified specifically or conceptually. The County may request, in writing, clarification to the submission.

The PPEA is intended to encourage proposals from the private sector that offer the provision of private financing in support of the proposed public project and the assumption of commensurate risk by the private operator, but also benefits to the operator through innovative approaches to project financing, development and use. However, while substantial private sector involvement is encouraged, qualifying facilities will still be devoted primarily to public use and typically involve facilities critical to the public health, safety and welfare. Accordingly, staff shall continue to exercise full and proper due diligence in the evaluation and selection of operators for these projects. In this regard, the qualifications, capabilities, resources, and other attributes of a prospective operator and its whole team shall be carefully examined for every project. In addition, operators proposing projects shall be held strictly accountable for representations or other information provided regarding their qualifications, experience, or other contents of their proposals, including all specific aspects of proposed plans to be performed by the operator.

- (b) Affected Jurisdictions - Any private entity requesting approval from or submitting a conceptual or detailed proposal to the County must provide any affected jurisdiction with a copy of the private entity's request or proposal by certified mail, express delivery or hand delivery within 5 business days after the County decides to accept the proposal. Any affected jurisdiction shall have 60 days from the receipt of the request or proposal to submit written comments to the County and to indicate whether the proposed qualifying project is compatible with the (i) jurisdiction's comprehensive plan, (ii) jurisdiction's infrastructure development plans, and (iii) capital improvements budget or other government spending plan. Comments received within the 60-day period shall be given consideration by the County.
- (c) Proposal Review Fee - The PPEA authorizes the County to charge a reasonable fee to cover the costs of processing, reviewing, and evaluating proposals.
 - (1) A fee of \$1,000 shall accompany the initial proposal to cover staff time to determine whether it is a qualifying project with a reasonable expectation of satisfying the criteria of 56-575.4 C of public need or benefit, reasonable estimated cost, and timely acquisition of the project. Such sum shall be paid with certified funds.
 - (2) If the County determines that the proposal is a qualifying project as described above, and to proceed with publication and further stages of review, the County may impose additional review fees if the County reasonably anticipates incurring costs in excess of the initial fee. Additional fees may include the reasonable cost of staff time, attorney's fees, and fees for financial, engineering, and other necessary advisors or consultants. The County will notify the private entity of the amount of such additional fees as and when it anticipates incurring such costs. The private entity shall pay such additional fees before the County will continue to process, review, and evaluate the proposal.

The County will refund any portion of fees paid in excess of its costs associated with evaluating the proposal.

Freedom of Information Act - Generally, proposal documents submitted by private entities are subject to the Virginia Freedom of Information Act ("FOIA"). In accordance with § 2.2-3705 A 56 of FOIA, such documents are releasable if requested, except to the extent that they relate to (a) confidential proprietary information submitted to the County under a promise of confidentiality or (b) memoranda, working papers or other records related to proposals if making public such records would adversely affect the financial interest of the County or the private entity or the bargaining position of either party. Proprietary, commercial or financial information, balance sheets, financial statements, or trade secrets provided by a private entity as evidence of its qualifications are not considered procurement records. Once a comprehensive agreement has been entered into, and the process of bargaining of all phases or aspects of the comprehensive agreement is complete, the responsible public entity shall make the procurement records available upon request in accordance with § 2.2-4342.

When the private entity seeks a promise of confidentiality from the County as to proprietary information under (a) above, the private entity must (i) invoke the exclusion when the data or materials are submitted to the County or before such submission, (ii) identify the data and materials for which protection from disclosure is sought, and (iii) state why the exclusion from disclosure is necessary. The County will not protect any portion of a proposal from disclosure if the entire proposal has been designated confidential by the proposer without reasonably differentiating between the proprietary and non-proprietary information contained therein.

Upon receipt of a request that designated portions of a proposal be protected from disclosure as confidential and proprietary, the County shall determine whether such protection is appropriate under applicable law and, if appropriate, the scope of such appropriate protection, and shall communicate its determination to the private entity. If the determination regarding protection or the scope thereof differs from the private en-

tity's request, then the County will accord the private entity a reasonable opportunity to clarify and justify its request. Upon a final determination by the County to accord less protection than requested by the private entity, the private entity may withdraw its proposal.

Use of Public Funds - Virginia constitutional and statutory requirements and County ordinances and policies as they apply to appropriation and expenditure of public funds apply to any comprehensive agreement entered into under the PPEA. Accordingly, the processes and procedural requirements associated with the expenditure or obligation of public funds shall be incorporated into planning for any PPEA project or projects and shall be in compliance with the County's Fiscal Policies as adopted by the Board.

Applicability of Other Laws - Nothing in the PPEA shall affect the duty of the County to comply with all other applicable law not in conflict with the PPEA. Article 6 Ethics in Public Contracting of the Virginia Public Procurement Act (the VPPA) is hereby incorporated herein by reference. Otherwise, the applicability of the VPPA is as set forth in the PPEA and herein.

5-3. Solicited Proposals

The County may invite bids or proposals from private entities to acquire, design, construct, improve, renovate, expand, equip, maintain or operate qualifying projects. The County may use a two-part process consisting of an initial conceptual phase and a detailed phase. The County will set forth in the solicitation the format and supporting information that is required to be submitted, consistent with the provisions of the PPEA.

The solicitation will specify, but not necessarily be limited to, information and documents that must accompany each proposal and the factors that will be used in evaluating the submitted proposals. The solicitation will be posted in such public areas as are normally used for posting of the County's notices, including the County's website. The solicitation will also contain or incorporate by reference other applicable terms and conditions, including any unique capabilities or qualifications that will be required of the private entities submitting proposals. Pre-proposal conferences may be held as deemed appropriate by the County.

5-4. Unsolicited Proposals

The PPEA permits the County to receive and evaluate unsolicited proposals from private entities to acquire, design, construct, improve, renovate, expand, equip, maintain, or operate a qualifying project.

The County may publicize its needs and may encourage or notify interested parties to submit proposals subject to the terms and conditions of the PPEA. When such proposals are received without issuance of a solicitation, the proposal shall be treated as an unsolicited proposal.

To ensure the County receives the best value for any qualifying project, the County will seek and encourage competing unsolicited proposals.

Decision to Accept and Consider Unsolicited Proposal; Notice

- a. Upon receipt of any unsolicited proposal or group of proposals and payment of any required fee by the proposer or proposers, the County will determine whether to accept the unsolicited proposal for publication and conceptual-phase consideration. If the County determines not to accept the proposal and not to proceed to publication and conceptual-phase consideration, staff will return the proposal, together with all fees and accompanying documentation, to the proposer.
- b. If the County chooses to accept an unsolicited proposal for conceptual-phase consideration, staff shall post a notice in a public area regularly used by the County for posting of public notices and on the County website for a period of not less than 45 days. The County shall also publish the same notice at least

once in a newspaper of general circulation in the County and advertise the notice in the Virginia Business Opportunities publication and post a copy of the notice on the Commonwealth's electronic procurement website to notify any parties that may be interested in submitting competing unsolicited proposals. Interested parties shall have adequate time as specified in the notice, but in no event less than 45 days from the date the notice is published to submit competing unsolicited proposals. The notice shall state that the County (i) has received and accepted an unsolicited proposal under the PPEA, (ii) intends to evaluate the proposal, (iii) may negotiate a comprehensive agreement with the proposer based on the proposal, and (iv) will accept for simultaneous consideration any competing unsolicited proposals that comply with the procedures adopted by the County and the PPEA. The notice also shall summarize the proposed qualifying project or projects, and identify their proposed locations.

Initial Review at the Conceptual Stage

- c. Only proposals complying with the requirements of the PPEA that contain sufficient information for a meaningful evaluation and that are provided in an appropriate format will be considered by the County for further review at the conceptual stage. Unsolicited proposals at the conceptual stage shall include the information described in Section V. (a).
- d. The County will determine at this initial stage of review whether it will proceed to evaluate the unsolicited proposals using either method i. or ii. below:
 - i. Procedures normally used by the County for the evaluation of bids resulting from "competitive sealed bidding" with award to the lowest responsive and responsible private entity. Evaluation may include special qualifications of private entities, life cycle costing, value analysis, and any other criteria such as inspection, testing, quality, workmanship, delivery, and suitability for a particular purpose, which are helpful in determining acceptability. Awards may be made to more than one private entity. The County may reject any or all proposals at any time and for any reason.
 - ii. Procedures normally used by the County for the evaluation of proposals resulting from the procurement of other than professional services through "competitive negotiation" as follows: Selection shall be typically made of two private entities deemed to be fully qualified and best suited among those submitting proposals. Negotiations shall then be conducted with each of the private entities so selected. Price shall be considered, but need not be the sole determining factor. After negotiations have been conducted with each private entity so selected, the County shall select the private entity, which, in its opinion, has made the best proposal. Awards may be made to more than one private entity. Should the public body determine in writing and in its sole discretion that only one private entity is fully qualified, or that one private entity is clearly more highly qualified than the others under consideration, negotiations may be limited to that private entity. The County may reject any or all proposals at any time and for any reason.

The County may proceed using competitive negotiation procedures described in b. above only if it makes a written determination that doing so is likely to be advantageous to the County and the public based upon either (i) the probable scope, complexity or urgency of need, or (ii) the risk sharing, added value, increase in funding or economic benefit from the project would otherwise not be available.

- e. In reviewing the proposals, the County shall engage the services of qualified professionals, including as may appear appropriate an architect, professional engineer or certified public accountant not employed by the County to provide an

independent analysis regarding the specifics, advantages, disadvantages, and long-term and short-term costs of the proposed project, unless the board of supervisors determines that such analysis shall be performed by County employees.

- f. After reviewing the original proposal and any competing unsolicited proposals submitted during the notice period, the County may determine:
 - i. not to proceed further with any proposal,
 - ii. to proceed to the detailed phase of review with the original proposal,
 - iii. to proceed to the detailed phase with a competing proposal, or
 - iv. to proceed to the detailed phase with multiple proposals.

5-5. Proposal Preparation and Submission

Format for Submissions at the Conceptual Stage

Proposals at the conceptual stage shall contain the following information in the following format plus any additional information as the County may reasonably request to comply with the requirements of the PPEA:

- a. Qualifications and Experience
 - i. Identify the legal structure of the firm or consortium of firms making the proposal. Identify the organizational structure for the project, the management approach, and how each partner and major subcontractor (over \$100,000) in the structure fits into the overall team. All members of the operator's team, including major subcontractors known to the proposer must be identified at the time a proposal is submitted for the Conceptual Stage. Identified team members, including major subcontractors, may not be substituted or replaced once a project is approved and comprehensive agreement entered into, without the written approval of the County. Include the status of the Virginia license of each partner, proposer, contractor, and major subcontractor.
 - ii. Describe the experience of the firm or consortium of firms making the proposal, the key principals and project managers involved in the proposed project including experience with projects of comparable size and complexity, including prior experience bringing similar projects to completion on budget and in compliance with design, land use, service and other standards. Describe the past safety performance record and current safety capabilities of the firm or consortium of firms. Describe the past technical performance history on recent projects of comparable size and complexity, including disclosure of any legal claims, of the firm or consortium of firms. Describe the length of time in business, business experience, public sector experience, and other engagements of the firm or consortium of firms. Include the identity of any firms that will provide design, construction and completion guarantees and warranties, and a description of such guarantees and warranties.
 - iii. For each firm or major subcontractor that will be utilized in the project, provide a statement listing all of the firm's prior projects and clients for the past 3 years and contact information for same (name, address, telephone number, e-mail address). If a firm has worked on more than ten (10) projects during this period, it may limit its prior project list to ten (10), but shall first include all projects similar in scope and size to the proposed project and, second, it shall include as many of its most recent projects as possible. Each firm or major subcontractor shall be required

to submit all performance evaluation reports or other documents, which are in its possession evaluating the firm's performance during the preceding three years in terms of cost, quality, schedule maintenance, safety and other matters relevant to the successful project development, operation, and completion.

- iv. Provide the names, prior experience, addresses, telephone numbers and e-mail addresses of persons within the firm or consortium of firms who will be directly involved in the project or who may be contacted for further information.
- v. Provide a current or most recently audited financial statement of the firm or firms and each partner with an equity interest of twenty percent or greater.
- vi. Identify any persons known to the proposer who would be obligated to disqualify themselves from participation in any transaction arising from or in connection to the project pursuant to The Virginia State and Local Government Conflict of Interest Act, Chapter 31 (§ 2.2-3100 et seq.) of Title 2.2.
- vii. Identify proposed plan for obtaining sufficient numbers of qualified workers in all trades or crafts required for the project.
- viii. For each firm or major subcontractor that will perform construction and/or design activities, provide the following information:
 - 1. A sworn certification by an authorized representative of the firm attesting to the fact that the firm is not currently debarred or suspended by any federal, state or local government entity.
 - 2. A completed qualification statement that reviews all relevant information regarding technical qualifications and capabilities, firm resources and business integrity of the firm, including but not limited to, bonding capacities, insurance coverage and firm equipment. This statement shall also include a mandatory disclosure by the firm for the past three years any of the following conduct:
 - a. Bankruptcy filings
 - b. Liquidated damages
 - c. Fines, assessments or penalties
 - d. Judgments or awards in contract disputes
 - e. Contract defaults, contract terminations
 - f. License revocations, suspensions, or other disciplinary actions
 - g. Prior debarments or suspensions by a governmental entity
 - h. Denials of prequalification, findings of non-responsibility
 - i. Safety past performance data, including fatality incidents, "Experience Modification Rating," "Total Recordable Injury Rate" and "Total Lost Workday Incidence Rate"
 - j. Violations of any federal, state or local criminal or civil law

- k. Criminal indictments or investigations
 - l. Legal claims filed by or against the firm
 - ix. Worker Safety Programs: Describe worker safety training programs, job-site safety programs, accident prevention programs, written safety and health plans, including incident investigation and reporting procedures.
- b. Project Characteristics
 - i. Provide a description of the project, including the conceptual design. Describe the proposed project in sufficient detail so that type and intent of the project, the location, and the communities that may be affected are clearly identified.
 - ii. Identify and fully describe any work to be performed by the County or any other public entity.
 - iii. Include a list of all federal, state and local permits and approvals required for the project and a schedule for obtaining such permits and approvals.
 - iv. Identify any anticipated adverse social, economic, environmental and transportation impacts of the project measured against the County's comprehensive land use plan and applicable ordinances and design standards. Specify the strategies or actions to mitigate known impacts of the project. Indicate if an environmental and archaeological assessment have been completed.
 - v. Identify the projected positive social, economic, environmental and transportation impacts of the project measured against the County's comprehensive land use plan and applicable ordinances and design standards.
 - vi. Identify the proposed schedule for the work on the project, including sufficient time for the County's review and the estimated time for completion.
 - vii. Identify contingency plans for addressing public needs in the event that all or some of the project is not completed according to projected schedule.
 - viii. Propose allocation of risk and liability, and assurances for timely completion of the project.
 - ix. State assumptions related to ownership, legal liability, law enforcement and operation of the project and the existence of any restrictions on the County's use of the project.
 - x. Provide information relative to phased openings of the proposed project.
- c. Project Financing
 - i. Provide a preliminary estimate and estimating methodology of the cost of the work by phase, segment, or both.
 - ii. Submit a plan for the development, financing and operation of the project showing the anticipated schedule on which funds will be required. Describe the anticipated costs of and proposed sources and uses for such funds, including any anticipated debt service costs. The opera-

tional plan should include appropriate staffing levels and associated costs based upon the County's adopted operational standards. Include any supporting due diligence studies, analyses, or reports.

- iii. Include a list and discussion of assumptions underlying all major elements of the plan.
- iv. Identify the proposed risk factors and methods for dealing with these factors. Describe methods and remedies associated with any financial default.
- v. Identify any local, state or federal resources that the proposer contemplates requesting for the project along with an anticipated schedule of resource requirements. Describe the total commitment, if any, expected from governmental sources and the timing of any anticipated commitment, both one-time and on-going.
- vi. Identify the need, if any, for the County to provide either its general obligation or moral obligation backing. The underlying assumptions should address this need and/or state that the credit would be via a "Service Agreement", for example. Any debt issuance should be expected to receive an investment grade rating from a nationally recognized bond rating agency. If the unenhanced rating is not investment grade, the County may require the use of credit enhancements.
- vii. Outline what impact, if any, a drop in interest rates would have on the ultimate annual project cost. Indicate if there is a method to refinance for cost savings. Indicate who would receive the benefit of any cost savings from a refinancing. Would the savings go to the County, would the savings be shared and to what extent, or does the firm only receive benefit of this potential?
- viii. Outline the financial penalties, if any, that would result should the County wish to terminate a project early or restructure the cash flows for some reason of its own choosing. The firm should be specific on this point.
- ix. If the firm has already chosen an underwriter(s), provide a breakout of the fees to any underwriting firm(s) and the type of obligation the firm(s) are using with a financing component. Be specific as to tax-exempt, taxable, floating rate, fixed rate, etc. If the firm has not yet chosen an underwriter(s), the County reserves the right to have input into the selection of that underwriter(s).

d. Project Benefit and Compatibility

- i. Identify community benefits, including the economic impact the project will have on the local community in terms of amount of tax revenue to be generated for the County, the number jobs generated for area residents and level of pay and fringe benefits of such jobs, and the number and value of subcontracts generated for area subcontractors.
- ii. Identify any anticipated public support or opposition, as well as any anticipated government support or opposition (including that in any affected jurisdiction), for the project.
- iii. Explain the strategy and plans, including the anticipated timeline that will be carried out to involve and inform the general public, business community, and governmental agencies in areas affected by the project.

- iv. Describe any anticipated significant benefits to the community and the County, including anticipated benefits to the economic, social, environmental, transportation, etc., condition of the County and whether the project is critical to attracting or maintaining competitive industries and businesses to the County.
 - v. Compatibility with the County's and/or affected jurisdiction's local comprehensive plan (including related environmental, land use and facility standards ordinances, where applicable), infrastructure development plans, transportation plans, the capital improvements plan and capital budget or other government spending plan.
- e. Any Additional Information As the County May Reasonably Request

Format for Submissions at the Detailed Stage

If the County decides to proceed to the detailed phase of review with one or more proposals, the following information should be provided by the private entity unless waived by the County:

- a. A topographical map (1:2,000 or other appropriate scale) depicting the location of the proposed project.
- b. Conceptual site plan indicating proposed location and configuration of the project on the proposed site;
- c. Conceptual (single line) plans and elevations depicting the general scope, appearance and configuration of the proposed project;
- d. Detailed description of the proposed participation, use and financial involvement of the County. Include the proposed terms and conditions for the project;
- e. A list of public utility facilities, if any, that will be crossed by the qualifying project and a statement of the plans of the proposer to accommodate such crossings.
- f. Information relating to the current plans for development of facilities to be used by a public entity that are similar to the qualifying project being proposed by the private entity, if any, of each affected jurisdiction;
- g. A statement and strategy setting out the plans for securing all necessary property and/or easements. The statement must include the names and addresses, if known, of the current owners of the subject property as well as a list of any property the proposer intends to request the County or affected jurisdiction to condemn.
- h. A detailed listing of all firms, along with their relevant experience and abilities, that will provide specific design, construction and completion guarantees and warranties, and a brief description of such guarantees and warranties along with a record of any prior defaults for performance.
- i. A total life-cycle cost, including maintenance, specifying methodology and assumptions of the project or projects including major building systems (e.g., electrical, mechanical, etc.), and the proposed project start date. Include anticipated commitment of all parties; equity, debt, and other financing mechanisms; and a schedule of project revenues and project costs. The life-cycle cost analysis should include, but not be limited to, a detailed analysis of the projected return, rate of return, or both, expected useful life of facility and estimated annual operating expenses using County adopted service levels and standards.

- j. A detailed discussion of assumptions about user fees or rates, lease payments and other service payments, and the methodology and circumstances for changes, and usage of the projects over the useful life of the projects.
- k. Identification of any known government support or opposition, or general public support or opposition for the project. Government or public support should be demonstrated through resolution of official bodies, minutes of meetings, letters, or other official communications.
- l. Demonstration of consistency with appropriate County and/or affected jurisdiction comprehensive plans (including related environmental, land use and facility standards ordinances, where applicable), infrastructure development plans, transportation plans, the capital improvement plan and capital budget, or indication of the steps required for acceptance into such plans.
- m. Explanation of how the proposed project would impact the County's or affected jurisdiction's development plans.
- n. Description of an ongoing performance evaluation system or database to track key performance criteria, including but not limited to, schedule, cash management, quality, worker safety, change orders, and legal compliance.
- o. Identification of any known conflicts of interest or other factors that may impact the County's consideration of the proposal, including the identification of any persons known to the proposer who would be obligated to disqualify themselves from participation in any transaction arising from or in connection to the project pursuant to The Virginia State and Local Government Conflict of Interest Act, Chapter 31 (§ 2.2-3100 et seq.) of Title 2.2.
- p. Acknowledge conformance with Sections 2.2-4367 through 2.2-4377 of the Code of Virginia, the Ethics in Public Contracting Act;
- q. Additional material and information as the County may reasonably request.

5-6. Proposal Evaluation and Selection Criteria

The following items, along with the specified information required under V.A and V.B above, shall be considered in the evaluation and selection of PPEA proposals.

Qualifications and Experience

Factors to be considered in either phase of the County's review to determine whether the proposer possesses the requisite qualifications and experience will include the following:

- a. Experience , training, and preparation with similar projects;
- b. Demonstration of ability to perform work;
- c. Demonstrated record of successful past performance, including timeliness of project delivery, compliance with plans and specifications, quality of workmanship, cost-control and project safety;
- d. Demonstrated conformance with applicable laws, codes, standards, regulations, and agreements on past projects;
- e. Leadership structure;
- f. Project manager's experience;
- g. Management approach;

- h. Project staffing plans, the skill levels of the proposed workforce, and the proposed safety plans for the project;
- i. Financial condition; and
- j. Project ownership.

Project Characteristics

Factors to be considered in determining the project characteristics include the following:

- a. Project definition;
- b. Proposed project schedule;
- c. Operation of the project;
- d. Technology; technical feasibility;
- e. Conformity to State, County or affected jurisdiction laws, regulations, and standards;
- f. Environmental impacts;
- g. Condemnation impacts;
- h. State and local permits; and
- i. Maintenance of the project.

Project Financing

Factors to be considered in determining whether the proposed project financing allows adequate access to the necessary capital to finance the project include the following:

- a. Cost and cost benefit to the County;
- b. Financing and the impact on the debt or debt burden of the County;
- c. Financial plan including overall feasibility and reliability of plan; default implications; operator's past performance with similar plans and similar projects; degree to which operator has conducted due diligence investigation and analysis of proposed financial plan and results of any such inquiries or studies.
- d. Estimated cost; including debt source, operating costs, etc., and
- e. Life-cycle cost analysis.

Project Benefit and Compatibility

Factors to be considered in determining the proposed project's compatibility with the County's, affected jurisdiction's or regional comprehensive or development plans include the following:

- a. Community benefits; including the economic impact the project will have on the County in terms of amount of tax revenue to be generated for the County, the number jobs generated for area residents and level of pay and fringe benefits of such jobs, and the number and value of subcontracts generated for area sub-

contractors.

- b. Community support or opposition, or both;
- c. Public involvement strategy;
- d. Public involvement strategy;
- e. Compatibility with existing and planned facilities;
- f. Compatibility with County, regional, and state economic development efforts; and
- g. Compatibility with County's and affected jurisdiction's land use and transportation plans,

5-7. Comprehensive Agreement

Prior to acquiring, designing, constructing, improving, renovating, expanding, equipping, maintaining, or operating the qualifying project, the selected proposer shall enter into a comprehensive agreement with the County. Each comprehensive agreement shall define the rights and obligations of the County and the selected proposer with regard to the project.

The terms of the comprehensive agreement shall be tailored to address the specifics of the project and shall include but not be limited to:

- (a) The delivery of maintenance, performance and payment bonds or letters of credit in connection with any acquisition, design, construction, improvement, renovation, expansion, equipping, maintenance, or operation of the qualifying project in the forms and amounts satisfactory to the County and in compliance with Code of Virginia section 2.2-4337 for those components of the qualifying project that involve construction;
- (b) The review and approval of plans and specifications for the qualifying project by the County;
- (c) The rights of the County to inspect the qualifying project to ensure compliance with the comprehensive agreement;
- (d) The maintenance of a policy or policies of liability insurance or self-insurance reasonably sufficient to insure coverage of the project and the tort liability to the public and employees and to enable the continued operation of the qualifying project;
- (e) The monitoring of the practices of the operator by the County to ensure proper maintenance, safety, use and management of the qualifying project;
- (f) The terms under which the operator will reimburse the County for services provided;
- (g) The policy and procedures that will govern the rights and responsibilities of the County and the operator in the event that the comprehensive agreement is terminated or there is a material default by the operator including the conditions governing assumption of the duties and responsibilities of the operator by the County and the transfer or purchase of property or other interests of the operator by the County;
- (h) The terms under which the operator will file appropriate financial statements on a periodic basis.

- (i) The mechanism by which user fees, lease payments, or service payments, if any, may be established from time to time upon agreement of the parties. Any payments or fees shall be the same for persons using the facility under like conditions and that will not materially discourage use of the qualifying project; classifications according to reasonable categories for assessment of user fees may be made.
- (j) The terms and conditions under which the County will contribute financial resources, if any, for the qualifying project; and
- (k) Other requirements of the PPEA or provisions that the County determines serve the public purpose of the PPEA.

The comprehensive agreement and any amendments thereto shall be approved and entered into in writing by the Board of Supervisors.

Parties submitting proposals understand that representations, information and data supplied in support of, or in connection with proposals plays a critical role in the competitive evaluation process and in the ultimate selection of a proposal by the County. Accordingly, as part of the Comprehensive Agreement, the prospective operator and its team members shall certify that all material representations, information and data provided in support of, or in connection with, a proposal is true and correct. Such certifications shall be made by authorized individuals who have knowledge of the information provided in the proposal. In the event that material changes occur with respect to any representations, information or data provided for a proposal, the prospective operator shall immediately notify the County of same. Any violation of this section of the Comprehensive Agreement shall give the County the right to terminate the Agreement, withhold payment or other consideration due, and seek any other remedy available under the law.

5-8. Projects Intended for Use by the York County School Board

Proposals for qualifying projects for which the York County School Board shall be the sole using public entity shall be submitted to the County's Purchasing Office, and the County shall provide all required public notices. However, decisions whether to accept and consider any such proposal, and the evaluation or review of any such proposal, shall be by the School Board, and the School Board shall approve and execute the Comprehensive agreement.

APPENDIX

VIRGINIA CODE PROVISIONS APPLICABLE TO COUNTY PROCUREMENT.

The following provisions of the Code of Virginia apply to the purchasing procedures of the County and are incorporated in this policy for the convenience of the user:

§ 2.2-4301 ... "Competitive negotiation" ... 3.a, Procurement of professional services.

The public body shall engage in individual discussions with two or more offerors deemed fully qualified, responsible and suitable on the basis of initial responses and with emphasis on professional competence, to provide the required services. Repetitive informal interviews shall be permissible. The offerors shall be encouraged to elaborate on their qualifications and performance data or staff expertise pertinent to the proposed project, as well as alternative concepts. The Request for Proposal shall not, however, request that offerors furnish estimates of man-hours or cost for services. At the discussion stage, the public body may discuss nonbinding estimates of total project costs, including, but not limited to, life cycle costing, and where appropriate, nonbinding estimates of price for services. Proprietary information from competing offerors shall not be disclosed to the public or to competitors. At the conclusion of discussion, outlined in this subdivision, on the basis of evaluation factors published in the Request for Proposal and all information developed in the selection process to this point, the public body shall select in the order of preference two or more offerors whose professional qualifications and proposed services are deemed

most meritorious. Negotiations shall then be conducted, beginning with the offeror ranked first. If a contract satisfactory and advantageous to the public body can be negotiated at a price considered fair and reasonable, the award shall be made to that offeror. Otherwise, negotiations with the offeror ranked first shall be formally terminated and negotiations conducted with the offeror ranked second, and so on until such a contract can be negotiated at a fair and reasonable price. Should the public body determine in writing and in its sole discretion that only one offeror is fully qualified, or that one offeror is clearly more highly qualified and suitable than the others under consideration, a contract may be negotiated and awarded to that offeror.

A contract for architectural or professional engineering services relating to construction projects may be negotiated by a public body, for multiple projects provided (i) the projects require similar experience and expertise, (ii) the nature of the projects is clearly identified in the Request for Proposal, and (iii) the contract term is limited to one year or when the cumulative total project fees reach the maximum cost authorized in this paragraph, whichever occurs first. Such contract may be renewable for two additional one-year terms at the option of the public body. Under such contract, (a) the fair and reasonable prices, as negotiated, shall be used in determining the cost of each project performed, (b) the sum of all projects performed in one contract term shall not exceed \$500,000 or, in the case of a state agency, as defined in § 2.2-4347, such lesser amount as may be determined by the Director of the Department of General Services, and (c) the project fee of any single project shall not exceed \$100,000 or, in the case of a state agency, such lesser amount as may be determined by the Director of the Department of General Services, except that in any locality or any authority or sanitation district with a population in excess of 8,000, such fee shall not exceed \$200,000. Any unused amounts from the first contract term shall not be carried forward to the additional term. Competitive negotiations for such contracts may result in awards to more than one offeror provided (i) the Request for Proposal so states and (ii) the public body has established procedures for distributing multiple projects among the selected contractors during the contract term.

Multiphase professional services contracts satisfactory and advantageous to the Department of Transportation for environmental, location, design and inspection work regarding highways and bridges may be negotiated and awarded based on a fair and reasonable price for the first phase only, when completion of the earlier phases is necessary to provide information critical to the negotiation of a fair and reasonable price for succeeding phases.

§ 2.2-4303. ...Methods of procurement. C and D--

- C. Upon a determination made in advance by the public body and set forth in writing that competitive sealed bidding is either not practicable or not fiscally advantageous to the public, goods, services, or insurance may be procured by competitive negotiation. The writing shall document the basis for this determination.

Upon a written determination made in advance by (i) the Governor or his designee in the case of a procurement by the Commonwealth or by a department, agency or institution thereof or (ii) the local governing body in the case of a procurement by a political subdivision of the Commonwealth, that competitive negotiation is either not practicable or not fiscally advantageous, insurance may be procured through a licensed agent or broker selected in the manner provided for the procurement of things other than professional services in subdivision 3 b of the definition of "competitive negotiation" in § 2.2-4301. The basis for this determination shall be documented in writing.

- D. Construction may be procured only by competitive sealed bidding, except that competitive negotiation may be used in the following instances upon a determination made in advance by the public body and set forth in writing that competitive sealed bidding is either not practicable or not fiscally advantageous to the public, which writing shall document the basis for this determination:
1. By the Commonwealth, its departments, agencies and institutions on a fixed price design-build basis or construction management basis under § 2.2-4306;
 2. By any public body for the alteration, repair, renovation or demolition of buildings when the contract is not expected to cost more than \$500,000;

3. By any public body for the construction of highways and any draining, dredging, excavation, grading or similar work upon real property; or
4. As otherwise provided in § 2.2-4308.

§ 2.2-4305. Competitive procurement by localities on state-aid projects.

No contract for the construction of any building or for an addition to or improvement of an existing building by any local governing body or subdivision thereof for which state funds of not more than \$30,000 in the aggregate of for the sum of all phases of a contract or project, either by appropriation, grant-in-aid or loan, are used or are to be used for all or part of the cost of construction shall be let except after competitive sealed bidding or after competitive negotiation as provided under subsection D of §2.2-4303. The procedure for the advertising for bids or for proposals and for letting of the contract shall conform, mutatis mutandis, to this chapter.

§ 2.2-4308. Design-build or construction management contracts for public bodies other than the Commonwealth; eligibility requirements; award of contract; records to be kept.

A. While the competitive sealed bid process remains the preferred method of construction procurement for public bodies in the Commonwealth, any public body other than the Commonwealth may enter into a contract for construction on a fixed price or not-to-exceed price design-build or construction management basis provided the public body complies with the requirements of this section and has obtained the approval of the Design-Build/Construction Management Review Board (the Review Board) pursuant to § 2.2-2406.

Prior to making a determination as to the use of design-build or construction management for a specific construction project, the public body shall have in its employ or under contract a licensed architect or engineer with professional competence appropriate to the project who shall advise the public body regarding the use of design-build or construction management for that project and who shall assist the public body with the preparation of the Request for Proposal and the evaluation of such proposals.

Prior to issuing a Request for Proposal for any design-build or construction management contract for a specific construction project, the public body shall:

1. Have adopted, by ordinance or resolution, written procedures governing the selection, evaluation, and award of design-build and construction management contracts. Such procedures shall be consistent with those described in this chapter for the procurement of nonprofessional services through competitive negotiation. Such procedures shall also require Requests for Proposals to include and define the criteria of such construction project in areas such as site plans; floor plans; exterior elevations; basic building envelope materials; fire protection information plans; structural, mechanical (HVAC), and electrical systems; and special telecommunications; and may define such other requirements as the public body determines appropriate for that particular construction project. Except as may otherwise be approved by the Review Board, such procedures for:
 - a. Design-build construction projects shall include a two-step competitive negotiation process consistent with the Review Board's regulations.
 - b. Construction management projects shall include selection procedures and required construction management contract terms consistent with the Review Board's regulations.
2. Have documented in writing that for a specific construction project (i) a design-build or construction management contract is more advantageous than a competitive sealed bid construction contract; (ii) there is a benefit to the public body by using a design-build or construction management contract; and (iii) competitive sealed bidding is not practical or fiscally advantageous.

B. Once approved by the Review Board in accordance with § 2.2-2406, the public body may procure a design-build or construction management contract for the specific construction project presented to the Review Board. Unless otherwise specified in the Request for Proposal, the contract shall be awarded to the fully qualified offeror who submits an acceptable proposal at the lowest cost in response to the Request for Proposal.

C. The public body shall provide information as requested by the Review Board to allow post-project evaluation by the Review Board.

§ 2.2-4311. Employment discrimination by contractor prohibited.

All public bodies shall include in every contract of over \$10,000 the following provisions:

1. During the performance of this contract, the contractor agrees as follows:
 - a. The contractor will not discriminate against any employee or applicant for employment because of race, religion, color, sex, national origin, age, disability, or other basis prohibited by state law relating to discrimination in employment, except where there is a bona fide occupational qualification reasonably necessary to the normal operation of the contractor. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices setting forth the provisions of this non-discrimination clause.
 - b. The contractor, in all solicitations or advertisements for employees placed by or on behalf of the contractor, will state that such contractor is an equal opportunity employer.
 - c. Notices, advertisements and solicitations placed in accordance with federal law, rule or regulation shall be deemed sufficient for the purpose of meeting the requirements of this section.
2. The contractor will include the provisions of the foregoing paragraphs a, b, and c in every subcontract or purchase order of over \$10,000, so that the provisions will be binding upon each subcontractor or vendor.

§ 2.2-4312. Drug-free workplace to be maintained by contractor; required contract provisions.

All public bodies shall include in every contract over \$10,000 the following provisions:

During the performance of this contract, the contractor agrees to (i) provide a drug-free workplace for the contractor's employees; (ii) post in conspicuous places, available to employees and applicants for employment, a statement notifying employees that the unlawful manufacture, sale, distribution, dispensation, possession, or use of a controlled substance or marijuana is prohibited in the contractor's workplace and specifying the actions that will be taken against employees for violations of such prohibition; (iii) state in all solicitations or advertisements for employees placed by or on behalf of the contractor that the contractor maintains a drug-free workplace; and (iv) include the provisions of the foregoing clauses in every subcontract or purchase order of over \$10,000, so that the provisions will be binding upon each subcontractor or vendor.

For the purposes of this section, "drug-free workplace" means a site for the performance of work done in connection with a specific contract awarded to a contractor in accordance with this chapter, the employees of whom are prohibited from engaging in the unlawful manufacture, sale, distribution, dispensation, possession or use of any controlled substance or marijuana during the performance of the contract.

§ 2.2-4315. Use of brand names.

Unless otherwise provided in the Invitation to Bid, the name of a certain brand, make or manufacturer shall not restrict bidders to the specific brand, make or manufacturer named; and shall be

deemed to convey the general style, type, character, and quality of the article desired, and any article which that public body in its sole discretion determines to be the equal of that specified, considering quality, workmanship, economy of operation, and suitability for the purpose intended, shall be accepted.

§ 2.2-4317. Prequalification generally; prequalification for construction.

- A. Prospective contractors may be prequalified for particular types of supplies, services, insurance or construction, and consideration of bids or proposals limited to prequalified contractors. Any prequalification procedure shall be established in writing and sufficiently in advance of its implementation to allow potential contractors a fair opportunity to complete the process.
- B. Any prequalification of prospective contractors for construction by a public body shall be pursuant to a prequalification process for construction projects adopted by the public body. The process shall be consistent with the provisions of this section.

The application form used in such process shall set forth the criteria upon which the qualifications of prospective contractors will be evaluated. The application form shall request of prospective contractors only such information as is appropriate for an objective evaluation of all prospective contractors pursuant to such criteria. The form shall allow the prospective contractor seeking prequalification to request, by checking the appropriate box, that all information voluntarily submitted by the contractor pursuant to this subsection shall be considered a trade secret or proprietary information subject to the provisions of subsection D of § 2.2-4342.

In all instances in which the public body requires prequalification of potential contractors for construction projects, advance notice shall be given of the deadline for the submission of prequalification applications. The deadline for submission shall be sufficiently in advance of the date set for the submission of bids for such construction so as to allow the procedures set forth in this subsection to be accomplished.

At least thirty days prior to the date established for submission of bids or proposals under the procurement of the contract for which the prequalification applies, the public body shall advise in writing each contractor who submitted an application whether that contractor has been prequalified. In the event that a contractor is denied prequalification, the written notification to the contractor shall state the reasons for the denial of prequalification and the factual basis of such reasons.

A decision by a public body denying prequalification under the provisions of this subsection shall be final and conclusive unless the contractor appeals the decision as provided in § 2.2-4357.

- C. A public body may deny prequalification to any contractor only if the public body finds one of the following:
 - 1. The contractor does not have sufficient financial ability to perform the contract that would result from such procurement. If a bond is required to ensure performance of a contract, evidence that the contractor can acquire a surety bond from a corporation included on the United States Treasury list of acceptable surety corporations in the amount and type required by the public body shall be sufficient to establish the financial ability of the contractor to perform the contract resulting from such procurement;
 - 2. The contractor does not have appropriate experience to perform the construction project in question;
 - 3. The contractor or any officer, director or owner thereof has had judgments entered against him within the past ten years for the breach of contracts for governmental or nongovernmental construction, including, but not limited to, design-build or construction management;

4. The contractor has been in substantial noncompliance with the terms and conditions of prior construction contracts with a public body without good cause. If the public body has not contracted with a contractor in any prior construction contracts, the public body may deny prequalification if the contractor has been in substantial noncompliance with the terms and conditions of comparable construction contracts with another public body without good cause. A public body may not utilize this provision to deny prequalification unless the facts underlying such substantial noncompliance were documented in writing in the prior construction project file and such information relating thereto given to the contractor at that time, with the opportunity to respond;
 5. The contractor or any officer, director, owner, project manager, procurement manager or chief financial official thereof has been convicted within the past ten years of a crime related to governmental or nongovernmental construction or contracting, including, but not limited to, a violation of (i) Article 6 (§ 2.2-4367 et seq.) of this chapter, (ii) the Virginia Governmental Frauds Act (§ 18.2-498.1 et seq.), (iii) Chapter 4.2 (§ 59.1-68.6 et seq.) of Title 59.1, or (iv) any substantially similar law of the United States or another state;
 6. The contractor or any officer, director or owner thereof is currently debarred pursuant to an established debarment procedure from bidding or contracting by any public body, agency of another state or agency of the federal government; and
 7. The contractor failed to provide to the public body in a timely manner any information requested by the public body relevant to subdivisions 1 through 6 of this subsection.
- D. If a public body has a prequalification ordinance that provides for minority participation in municipal construction contracts, that public body may also deny prequalification based on minority participation criteria. However, nothing herein shall authorize the adoption or enforcement of minority participation criteria except to the extent that such criteria, and the adoption and enforcement thereof, are in accordance with the Constitution and laws of the United States and the Commonwealth.
- E. The provisions of this subsection shall not apply to prequalification for contracts let by the Commonwealth Transportation Board under § 33.1-12.

§ 2.2-4330. Withdrawal of bid due to error.

- A. A bidder for a public construction contract, other than a contract for construction or maintenance of public highways, may withdraw his bid from consideration if the price bid was substantially lower than the other bids due solely to a mistake in the bid, provided the bid was submitted in good faith, and the mistake was a clerical mistake as opposed to a judgment mistake, and was actually due to an unintentional arithmetic error or an unintentional omission of a quantity of work, labor or material made directly in the compilation of a bid, which unintentional arithmetic error or unintentional omission can be clearly shown by objective evidence drawn from inspection of original work papers, documents and materials used in the preparation of the bid sought to be withdrawn.

If a bid contains both clerical and judgment mistakes, a bidder may withdraw his bid from consideration if the price bid would have been substantially lower than the other bids due solely to the clerical mistake, that was an unintentional arithmetic error or an unintentional omission of a quantity of work, labor or material made directly in the compilation of a bid that shall be clearly shown by objective evidence drawn from inspection of original work papers, documents and materials used in the preparation of the bid sought to be withdrawn.

One of the following procedures for withdrawal of a bid shall be selected by the public body and stated in the advertisement for bids: (i) the bidder shall give notice in writing of his claim of right to withdraw his bid within two business days after the conclusion of the bid opening procedure and shall submit original work papers with such notice; or (ii) the bidder shall submit to the public body or designated official his original work papers, documents and materials used in the preparation of the bid within one day after the date fixed for submission of bids. The work papers shall be delivered by the bidder in person or by registered mail at or prior to the time fixed for the opening of bids. In either instance, the work papers, documents and materials may be considered as trade secrets or proprietary information subject to the conditions of subsection F of § 2.2-4342. The bids shall be opened one day following the time fixed by the public body for the submission of bids. Thereafter, the bidder shall have two hours after the opening of bids within which to claim in writing any mistake as defined herein and withdraw his bid. The contract shall not be awarded by the public body until the two-hour period has elapsed. The mistake shall be proved only from the original work papers, documents and materials delivered as required herein.

- B. A public body may establish procedures for the withdrawal of bids for other than construction contracts.
- C. No bid shall be withdrawn under this section when the result would be the awarding of the contract on another bid of the same bidder or of another bidder in which the ownership of the withdrawing bidder is more than five percent.
- D. If a bid is withdrawn in accordance with this section, the lowest remaining bid shall be deemed to be the low bid.
- E. No bidder who is permitted to withdraw a bid shall, for compensation, supply any material or labor to or perform any subcontract or other work agreement for the person or firm to whom the contract is awarded or otherwise benefit, directly or indirectly, from the performance of the project for which the withdrawn bid was submitted.
- F. If the public body denies the withdrawal of a bid under the provisions of this section, it shall notify the bidder in writing stating the reasons for its decision and award the contract to such bidder at the bid price, provided such bidder is a responsible and responsive bidder.

§ 2.2-4333. Retainage on construction contracts.

- A. In any public contract for construction that provides for progress payments in installments based upon an estimated percentage of completion, the contractor shall be paid at least ninety-five percent of the earned sum when payment is due, with not more than five percent being retained to ensure faithful performance of the contract. All amounts withheld may be included in the final payment.
- B. Any subcontract for a public project that provides for similar progress payments shall be subject to the provisions of this section.

§ 2.2-4334 Deposit of certain retained funds on certain contracts with local governments; penalty for failure to timely complete.

- A. Any county, city, town or agency thereof or other political subdivision of the Commonwealth when contracting directly with contractors for public contracts of \$200,000 or more for construction of highways, roads, streets, bridges, parking lots, demolition, clearing, grading, excavating, paving, pile driving, miscellaneous drainage structures, and the installation of water, gas, sewer lines and pumping stations where portions of the contract price are to be retained, shall include in the Bid Proposal an option for the contractor to use an escrow account procedure for utilization of the political subdivision's retainage funds by so indicating in the space provided in the proposal documents. In the event the contractor elects to use the escrow account procedure, the escrow agreement form included in the Bid Proposal and Contract shall be executed and submitted to the political subdivision within fifteen calendar days after notification. If the escrow agreement form is not submitted

within the fifteen-day period, the contractor shall forfeit his rights to the use of the escrow account procedure.

- B. In order to have retained funds paid to an escrow agent, the contractor, the escrow agent, and the surety shall execute an escrow agreement form. The contractor's escrow agent shall be a trust company, bank or savings institution with its principal office located in the Commonwealth. The escrow agreement and all regulations adopted by the political subdivision entering into the contract shall be substantially the same as that used by the Virginia Department of Transportation.
- C. This section shall not apply to public contracts for construction for railroads, public transit systems, runways, dams, foundations, installation or maintenance of power systems for the generation and primary and secondary distribution of electric current ahead of the customer's meter, the installation or maintenance of telephone, telegraph or signal systems for public utilities and the construction or maintenance of solid waste or recycling facilities and treatment plants.
- D. Any such public contract for construction with a county, city, town or agency thereof or other political subdivision of the Commonwealth, which includes payment of interest on retained funds, may require a provision whereby the contractor, exclusive, of reasonable circumstances beyond the control of the contractor stated in the contract, shall pay a specified penalty for each day exceeding the completion date stated in the contract.
- E. Any subcontract for such public project that provides for similar progress payment shall be subject to the provisions of this section.

§ 2.2-4335. Public construction contract provisions barring damages for unreasonable delays declared void.

- A. Any provisions contained in any public construction contract that purports to waive, release, or extinguish the rights of a contractor to recover costs or damages for unreasonable delay, in performing such contract, either on his behalf or on behalf of his subcontractor if and to the extent the delay is caused by acts or omissions of the public body, its agents or employees and due to causes within their control shall be void and unenforceable, as against public policy.
- B. Subsection A shall not be construed to render void any provision of a public construction contract that:
 - 1. Allows a public body to recover that portion of delay costs caused by the acts or omissions of the contractor, or its subcontractors, agents or employees;
 - 2. Requires notice of any delay by the party claiming the delay;
 - 3. Provides for liquidated damages for delay; or
 - 4. Provides for arbitration or any other procedure designed to settle contract disputes.
- C. A contractor making a claim against a public body for costs or damages due to the alleged delaying of the contractor in the performance of its work under any public construction contract shall be liable to the public body and shall pay it for a percentage of all costs incurred by the public body in investigating, analyzing, negotiating, litigating and arbitrating the claim, which percentage shall be equal to the percentage of the contractor's total delay claim that is determined through litigation or arbitration to be false or to have no basis in law or in fact.
- D. A public body denying a contractor's claim for costs or damages due to the alleged delaying of the contractor in the performance of work under any public construction contract shall be liable to and shall pay such contractor a percentage of all costs incurred by the contractor to investigate, analyze, negotiate, litigate and arbitrate the claim. The percentage paid by the public body shall be equal to the percentage of the

contractor's total delay claim for which the public body's denial is determined through litigation or arbitration to have been made in bad faith.

§ 2.2-4336. Bid bonds.

- A. Except in cases of emergency, all bids or proposals for construction contracts in excess of \$100,000 shall be accompanied by a bid bond from a surety company selected by the bidder that is authorized to do business in Virginia, as a guarantee that if the contract is awarded to the bidder, he will enter into the contract for the work mentioned in the bid. The amount of the bid bond shall not exceed five percent of the amount bid.
- B. No forfeiture under a bid bond shall exceed the lesser of (i) the difference between the bid for which the bond was written and the next low bid, or (ii) the face amount of the bid bond.
- C. Nothing in this section shall preclude a public body from requiring bid bonds to accompany bids or proposals for construction contracts anticipated to be less than \$100,000.

§ 2.2-4337. Performance and payment bonds.

- A. Upon the award of any (i) public construction contract exceeding \$100,000 awarded to any prime contractor or (ii) construction contract exceeding \$100,000 awarded to any prime contractor requiring the performance of labor or the furnishing of materials for buildings, structures or other improvements to real property owned by a public body, the contractor shall furnish to the public body the following bonds:
 - a. Except for transportation-related projects, a performance bond in the sum of the contract amount conditioned upon the faithful performance of the contract in strict conformity with the plans, specifications and conditions of the contract. For transportation-related projects, such bond shall be in a form and amount satisfactory to the public body.
 - b. A payment bond in the sum of the contract amount. The bond shall be for the protection of claimants who have and fulfill contracts to supply labor or materials to the prime contractor to whom the contract was awarded, or to any subcontractors, in furtherance of the work provided for in the contract, and shall be conditioned upon the prompt payment for all materials furnished or labor supplied or performed in the furtherance of the work.

“Labor or materials” shall include public utility services and reasonable rentals of equipment, but only for periods when the equipment rented is actually used at the site.
- B. Each of the bonds shall be executed by one or more surety companies selected by the contractor that are authorized to do business in Virginia.
- C. If the public body is the Commonwealth, or any agency or institution thereof, the bonds shall be payable to the Commonwealth of Virginia, naming also the agency or institution thereof. Bonds required for the contracts of other public bodies shall be payable to such public body.
- D. Each of the bonds shall be filed with the public body that awarded the contract, or a designated office or official thereof.
- E. Nothing in this section shall preclude a public body from requiring payment or performance bonds for construction contracts below \$100,000.
- F. Nothing in this section shall preclude the contractor from requiring each subcontractor to furnish a payment bond with surety thereon in the sum of the full amount of the contract with such subcontractor conditioned upon the payment to all persons who

have and fulfill contracts that are directly with the subcontractor for performing labor and furnishing materials in the prosecution of the work provided for in the subcontract.

§ 2.2-4338. Alternative forms of security.

- A. In lieu of a bid, payment, or performance bond, a bidder may furnish a certified check or cash escrow in the face amount required for the bond.
- B. If approved by the Attorney General in the case of state agencies, or the attorney for the political subdivision in the case of political subdivisions, a bidder may furnish a personal bond, property bond, or bank or savings institution's letter of credit on certain designated funds in the face amount required for the bid, payment or performance bond. Approval shall be granted only upon a determination that the alternative form of security proffered affords protection to the public body equivalent to a corporate surety's bond.
- C. The provisions of this section shall not apply to the Department of Transportation.

§ 2.2-4340. Action on performance bond.

No action against the surety on a performance bond shall be brought unless within five years after completion of the work on the project to the satisfaction of the Department of Transportation, in cases where the public body is the Department of Transportation, or in all other cases within one year after (i) completion of the contract, including the expiration of all warranties and guarantees, or (ii) discovery of the defect or breach of warranty that gave rise to the action.

§ 2.2-4341. Actions on payment bonds; waiver of right to sue.

- A. Subject to the provisions of subsection B, any claimant who has performed labor or furnished material in accordance with the contract documents in furtherance of the work provided in any contract for which a payment bond has been given, and who has not been paid in full before the expiration of ninety days after the day on which the claimant performed the last of the labor or furnished the last of the materials for which he claims payment, may bring an action on the payment bond to recover any amount due him for the labor or material. The obligee named in the bond need not be named a party to such action.
- B. Any claimant who has a direct contractual relationship with any subcontractor but who has no contractual relationship, express or implied, with the contractor, may bring an action on the contractor's payment bond only if he has given written notice to the contractor within 180 days from the day on which the claimant performed the last of the labor or furnished the last of the materials for which he claims payment, stating with substantial accuracy the amount claimed and the name of the person for whom the work was performed or to whom the material was furnished. Notice to the contractor shall be served by registered or certified mail, postage prepaid, in an envelope addressed to such contractor at any place where his office is regularly maintained for the transaction of business. Claims for sums withheld as retainages with respect to labor performed or materials furnished, shall not be subject to the time limitations stated in this subsection.
- C. Any action on a payment bond shall be brought within one year after the day on which the person bringing such action last performed labor or last furnished or supplied materials.
- D. Any waiver of the right to sue on the payment bond required by this section shall be void unless it is in writing, signed by the person whose right is waived, and executed after such person has performed labor or furnished material in accordance with the contract documents.

§ 2.2-4343.1. Permitted contracts with certain religious organizations; purpose; limitations.

- A. It is the intent of the General Assembly, in accordance with the Personal Responsibility and Work Opportunity Reconciliation Act of 1996, P.L. 104-193, to authorize public bodies to enter into contracts with faith-based organizations for the purposes described in this section on the same basis as any other nongovernmental source without impairing the religious character of such organization, and without diminishing the religious freedom of the beneficiaries of assistance provided under this section.
- B. For the purposes of this section, "faith-based organization" means a religious organization that is or applies to be a contractor to provide goods or services for programs funded by the block grant provided pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996, P.L. 104-193.
- C. Public bodies, in procuring goods or services, or in making disbursements pursuant to this section, shall not (i) discriminate against a faith-based organization on the basis of the organization's religious character or (ii) impose conditions that (a) restrict the religious character of the faith-based organization, except as provided in subsection F, or (b) impair, diminish, or discourage the exercise of religious freedom by the recipients of such goods, services, or disbursements.
- D. Public bodies shall ensure that all invitations to bid, requests for proposals, contracts, and purchase orders prominently display a nondiscrimination statement indicating that the public body does not discriminate against faith-based organizations.
- E. A faith-based organization contracting with a public body (i) shall not discriminate against any recipient of goods, services, or disbursements made pursuant to a contract authorized by this section on the basis of the recipient's religion, religious belief, refusal to participate in a religious practice, or on the basis of race, age, color, gender or national origin and (ii) shall be subject to the same rules as other organizations that contract with public bodies to account for the use of the funds provided; however, if the faith-based organization segregates public funds into separate accounts, only the accounts and programs funded with public funds shall be subject to audit by the public body. Nothing in clause (ii) shall be construed to supercede or otherwise override any other applicable state law.
- F. Consistent with the Personal Responsibility and Work Opportunity Reconciliation Act of 1996, P.L. 104-193, funds provided for expenditure pursuant to contracts with public bodies shall not be spent for sectarian worship, instruction, or proselytizing; however, this prohibition shall not apply to expenditures pursuant to contracts, if any, for the services of chaplains.
- G. Nothing in this section shall be construed as barring or prohibiting a faith-based organization from any opportunity to make a bid or proposal or contract on the grounds that the faith-based organization has exercised the right, as expressed in 42 U.S.C. (§ 2000e-1 et seq.), to employ persons of a particular religion.
- H. If an individual, who applies for or receives goods, services, or disbursements provided pursuant to a contract between a public body and a faith-based organization, objects to the religious character of the faith-based organization from which the individual receives or would receive the goods, services, or disbursements, the public body shall offer the individual, within a reasonable period of time after the date of his objection, access to equivalent goods, services, or disbursements from an alternative provider. The public body shall provide to each individual who applies for or receives goods, services, or disbursements provided pursuant to a contract between a public body and a faith-based organization a notice in bold face type that states: "Neither the public body's selection of a charitable or faith-based provider of services nor the expenditure of funds under this contract is an endorsement of the provider's charitable or religious character, practices, or expression. No provider of services may discriminate against you on the basis of religion, a religious belief, or your refusal to actively participate in a reli-

gious practice. If you object to a particular provider because of its religious character, you may request assignment to a different provider. If you believe that your rights have been violated, please discuss the complaint with your provider or notify the appropriate person as indicated in this form."

§ 2.2-4367. Purpose.

The provisions of this article supplement, but shall not supersede, other provisions of law including, but not limited to, the State and Local Government Conflict of Interests Act (§2.2-3100 et seq.), the Virginia Governmental Frauds Act (§ 18.2-498.1 et seq.) and Articles 2 (§ 18.2-438 et seq.) and 3 (§18.2-446 et seq.) of Chapter 10 of Title 18.2.

The provisions of this article shall apply notwithstanding the fact that the conduct described may not constitute a violation of the State and Local Government Conflict of Interests Act.

§ 2.2-4368. Definitions. -- As used in this article:

"Immediate family" means a spouse, children, parents, brothers and sisters, and any other person living in the same household as the employee.

"Official responsibility" means administrative or operating authority, whether intermediate or final, to initiate, approve, disapprove or otherwise affect a procurement transaction, or any claim resulting therefrom.

"Pecuniary interest arising from the procurement" means a personal interest in a contract as defined in the State and Local Government Conflict of Interests Act (§2-2.3100 et seq.).

"Procurement transaction" means all functions that pertain to the obtaining of any goods, services or construction, including description of requirements, selection and solicitation of sources, preparation and award of contract, and all phases of contract administration.

"Public employee" means any person employed by a public body, including elected officials or appointed members of governing bodies.

§ 2.2-4369. Proscribed Participation by employees in procurement transactions.

Except as may be specifically allowed by subdivisions A 2 and A 3 of § 2.2-3112, no public employee having official responsibility for a procurement transaction shall participate in that transaction on behalf of the public body when the employee knows that:

- A. The employee is contemporaneously employed by a bidder, offeror or contractor involved in the procurement transaction;
- B. The employee, the employee's partner, or any member of the employee's immediate family holds a position with a bidder, offeror or contractor such as an officer, director, trustee, partner or the like, or is employed in a capacity involving personal and substantial participation in the procurement transaction, or owns or controls an interest of more than five percent;
- C. The employee, the employee's partner, or any member of the employee's immediate family has a pecuniary interest arising from the procurement transaction; or
- D. The employee, the employee's partner, or any member of the employee's immediate family is negotiating, or has an arrangement concerning, prospective employment with a bidder, offeror or contractor.

§ 2.2-4370. Disclosure of subsequent employment.

No public employee or former public employee having official responsibility for procurement transactions shall accept employment with any bidder, offeror or contractor with whom the employee or former employee dealt in an official capacity concerning procurement transactions for a period of

one year from the cessation of employment by the public body unless the employee or former employee provides written notification to the public body, or a public official if designated by the public body, or both, prior to commencement of employment by that bidder, offeror or contractor.

§ 2.2-4371. Prohibition on solicitation or acceptance of gifts; gifts by bidders, offerors, contractor or subcontractors prohibited.

- A. No public employee having official responsibility for a procurement transaction shall solicit, demand, accept or agree to accept from a bidder, offeror, contractor or subcontractor any payment, loan, subscription, advance, deposit of money, services or anything of more than nominal or minimal value, present or promised, unless consideration of substantially equal or greater value is exchanged. The public body may recover the value of anything conveyed in violation of this section.
- B. No bidder, offeror, contractor or subcontractor shall confer upon any public employee having official responsibility for a procurement transaction any payment, loan, subscription, advance, deposit of money, services or anything of more than nominal value, present or promised, unless consideration of substantially equal or greater value is exchanged.

§ 2.2-4372. Kickbacks.

- A. No contractor or subcontractor shall demand or receive from any of his suppliers or his subcontractors, as an inducement for the award of a subcontract or order, any payment, loan, subscription, advance, deposit of money, services or anything, present or promised, unless consideration of substantially equal or greater value is exchanged.
- B. No subcontractor or supplier shall make, or offer to make, kickbacks as described in this section.
- C. No person shall demand or receive any payment, loan, subscription, advance, deposit of money, services or anything of value in return for an agreement not to compete on a public contract.
- D. If a subcontractor or supplier makes a kickback or other prohibited payment as described in this section, the amount thereof shall be conclusively presumed to have been included in the price of the subcontract or order and ultimately borne by the public body and shall be recoverable from both the maker and recipient. Recovery from one offending party shall not preclude recovery from other offending parties.

§ 2.2-4373 Participation in bid preparation; limitation on submitting bid for same procurement.

No person who, for compensation, prepares an invitation to bid or request for proposal for or on behalf of a public body shall (i) submit a bid or proposal for that procurement or any portion thereof or (ii) disclose to any bidder or offeror information concerning the procurement that is not available to the public. However, a public body may permit such person to submit a bid or proposal for that procurement or any portion thereof if the public body determines that the exclusion of the person would limit the number of potential qualified bidders or offerors in a manner contrary to the best interests of the public body.

§ 2.2-4374. Purchase of building materials, etc., from architect or engineer prohibited.

- A. No building materials, supplies or equipment for any building or structure constructed by or for a public body shall be sold by or purchased from any person employed as an independent contractor by the public body to furnish architectural or engineering services, but not construction, for such building or structure or from any partnership, association or corporation in which such architect or engineer has a personal interest as defined in § 2.2-3101.
- B. No building materials, supplies or equipment for any building or structure constructed by or for a public body shall be sold by or purchased from any person who has provided

or is currently providing design services specifying a sole source for such materials, supplies or equipment to be used in the building or structure to the independent contractor employed by the public body to furnish architectural or engineering services in which such person has a personal interest as defined in § 2.2-3101.

- C. The provisions of subsections A and B shall not apply in cases of emergency or for transportation-related projects conducted by the Department of Transportation or the Virginia Port Authority.

§2.2-4375. Certification of compliance required; penalty for false statements.

- A. Public bodies may require public employees having official responsibility for procurement transactions in which they participated to annually submit for such transactions a written certification that they complied with the provisions of this article.
- B. Any public employee required to submit a certification as provided in subsection A of this section who knowingly makes a false statement in the certification shall be punished as provided in §2.2-4377.

§2.2-4376. Misrepresentations prohibited.

No public employee having official responsibility for a procurement transaction shall knowingly falsify, conceal, or misrepresent a material fact; knowingly make any false, fictitious or fraudulent statements or representations; or make or use any false writing or document knowing it to contain any false, fictitious or fraudulent statement or entry.

§ 2.2-4377. Penalty for violation.

Any person convicted of a willful violation of any provision of this article shall be guilty of a Class 1 misdemeanor. Upon conviction, any public employee, in addition to any other fine or penalty provided by law, shall forfeit his employment.

On roll call the vote was:

Yea: (5) Bowman, Burgett, Zaremba, Noll, Shepperd
Nay: (0)

LOCAL LAW ENFORCEMENT BLOCK GRANT

Mr. McReynolds made a presentation on the block grant and the requirement to receive the public's comments concerning the use of the grant funds. He indicated that because the funds were under \$30,000, after the public hearing there would be no need for the matter to come before the Board in order to purchase the items.

Mr. Zaremba asked if this was the County's first jet ski.

Mr. McReynolds indicated the Department of Fire and Life Safety has one that was donated.

Discussion ensued with Major Ron Montgomery, Sheriff's Office, concerning the cost of the jet ski and training for the operators.

Chairman Shepperd then called to order a public hearing on the Local Law Enforcement Block Grant which was duly advertised as required by law.

There being no one present who wished to speak concerning the subject grant, Chairman Shepperd closed the public hearing.

CONSENT CALENDAR

September 7, 2004

Mrs. Noll moved that the Consent Calendar be approved as submitted, Item Nos. 5, 6, 7, 8, and 9, respectively.

On roll call the vote was:

Yea: (5) Burgett, Zaremba, Noll, Bowman, Shepperd
Nay: (0)

Thereupon, the following minutes were approved and resolutions adopted:

Item No. 5. APPROVAL OF MINUTES

The minutes of the following meetings of the York County Board of Supervisors were approved:

August 3, 2004, Regular Meeting
August 10, 2004, Adjourned Meeting

Item No. 6. RESCHEDULING A REGULAR MEETING OF THE BOARD OF SUPERVISORS:
Resolution R04-130

A RESOLUTION TO RESCHEDULE THE OCTOBER 19, 2004,
REGULAR MEETING OF THE YORK COUNTY BOARD OF SU-
PERVISORS TO OCTOBER 26, 2004

WHEREAS, the York County Board of Supervisors' regular meetings are held the first and third Tuesdays of each month, with the exception of July and November when there is only a meeting on the third Tuesday of those months; and

WHEREAS, a regular meeting of the Board of Supervisors is scheduled for Tuesday, October 19, 2004; and

WHEREAS, October 19 is Yorktown Day, a County holiday, and the Board does not wish to conduct business on a holiday; and

WHEREAS, Section 15.2-1416 of the Code of Virginia permits the governing body to changes its date, time, or place of meeting, provided that it adopts an appropriate resolution and properly posts and advertises said resolution;

NOW, THEREFORE, BE IT RESOLVED by the York County Board of Supervisors, this the 7th day of September, 2004, that the regular meeting of the York County Board of Supervisors scheduled to be held Tuesday, October 19, 2004, be and it is hereby, rescheduled to be held Tuesday, October 26, 2004, in the Board Room of York Hall, at 7:00 p.m.

BE IT FURTHER RESOLVED that the County Administrator be, and he is hereby, directed to post and advertise this resolution in accordance with Section 15.2-1416 of the Code of Virginia.

Item No. 7. GRANT ACCEPTANCE: Resolution R04-135

A RESOLUTION TO ACCEPT AND APPROPRIATE GRANT FUNDS
FROM THE VIRGINIA SALTWATER RECREATIONAL FISHING
DEVELOPMENT FUND FOR THE SMITH LANDING WATER-
FRONT IMPROVEMENTS PROJECT AND AUTHORIZE THE
COUNTY ADMINISTRATOR TO EXECUTE THE GRANT AGREE-
MENT

WHEREAS, the County is planning to renovate the Smith Landing facility in FY2005 and applied to the Virginia Marine Resources Commission (VMRC) for a grant to supplement the County funding budgeted for this project in the Capital Improvement Program; and

WHEREAS, the VMRC grant program provides funding for up to 75% of the project's cost; and

WHEREAS, an initial grant of up to \$309,984 from the Virginia Saltwater Recreational Development Fund has been awarded to the County by the VMRC to replace the boat launching ramps and courtesy docks; and

WHEREAS, a request for additional grant funding from the VMRC for \$215,767 to cover the remaining cost of the project is currently under consideration by the VMRC, with a final decision on the grant award being made in November 2004; and

WHEREAS, the VMRC grant program requires the County to not only cover the entire cost of the project before being reimbursed with grant funds but also 25% of the project's cost; and

WHEREAS, the County has the required 25% matching funds in the FY2005 Capital Improvements Budget;

NOW, THEREFORE, BE IT RESOLVED by the York County Board of Supervisors this 7th day of September, 2004, that the Board of Supervisors does hereby accept and appropriate grant funds in the amount of \$309,984 from the Virginia Recreational Fishing Development Fund in support of the Smith Landing Waterfront Improvements Project.

BE IT FURTHER RESOLVED that the County Administrator is hereby authorized to execute the grant agreement with the VMRC, subject to approval as to form by the County Attorney and to do all things necessary to implement this grant program.

Item No. 8. REVISIONS TO THE YORK COUNTY PERSONNEL POLICIES AND PROCEDURES MANUAL: Resolution R04-136

A RESOLUTION TO AMEND AND READOPT AS COUNTY POLICY
THE PERSONNEL POLICIES AND PROCEDURES MANUAL TO
BECOME EFFECTIVE OCTOBER 1, 2004

WHEREAS, it is the desire of the Board of Supervisors to consolidate and structure all formal personnel policies within the County into a single document subject to the annual review and approval of the Board of Supervisors; and

WHEREAS, the Board has caused such Personnel Policies and Procedures Manual to be developed and submitted for its consideration; and

WHEREAS, the Personnel Policies and Procedures Manual was approved and adopted by the Board by Resolution No. R91-287 on December 19, 1991, and the Board has subsequently reviewed and amended this Manual on an annual basis; and

WHEREAS, in accordance with County policy, staff has completed the required annual review of the Personnel Policies and Procedures Manual for the current year and the County Administrator has submitted certain revisions and changes to the Manual for consideration by the Board which are incorporated into a revised manual dated October 1, 2004;

NOW, THEREFORE, BE IT RESOLVED by the York County Board of Supervisors this 7th day of September, 2004, that the document identified as the York County Personnel Policies and Procedures Manual be adopted by the Board with an effective date of October 1, 2004.

Item No. 9. PURCHASE AUTHORIZATION: Resolution R04-132

A RESOLUTION TO AUTHORIZE THE COUNTY ADMINISTRATOR
TO EXECUTE A CONTRACT FOR THE REPAIR OF THE YORK-
TOWN COFFERDAM PIER

WHEREAS, it is the policy of the Board of Supervisors that all procurements of goods and services by the County involving the expenditure of \$30,000 or more be submitted to the Board for its review and approval; and

WHEREAS, the County Administrator has determined that the following procurement is necessary and desirable, that it involves the expenditure of \$30,000 or more, and that all applicable laws, ordinances, and regulations have been complied with;

NOW, THEREFORE, BE IT RESOLVED by the York County Board of Supervisors this 7th day of September, 2004, that the County Administrator be, and hereby is, authorized to execute procurement arrangements for the following:

Cofferdam Pier Repairs	<u>AMOUNT</u> \$82,390
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MATTERS PRESENTED BY THE BOARD (Continued)

Chairman Shepperd noted he had received a copy of a letter from Mrs. McMillan of the Newport News City Council concerning the noise at the airport. He stated the letter was to Newport News Airport making note of the noise issues. He stated he feels that as the airport continues to grow, there will be more and more traffic, and noise abatement will become an issue. Even the military understands about noise abatement procedures, and the FAA is the driver in this instance. He noted he did submit a letter to the Admiral, and Newport News has now submitted a letter, so hopefully something can get done. Mr. Shepperd expressed his appreciation for the work by County staff for the legislative dinner, and he thanked the County's legislators for listening to the Board's requests. He noted that Mr. Burgett attended an Alliance meeting concerning the merger issue. He noted there were mixed responses, and he now has a memorandum from the Alliance stating that Mayor Kearney will have the issue brought before City Council for support. Regarding the issue of Route 17, Mr. Shucet's letter indicated he agrees with the County's assessment for the need of the project, but the reality is that there are not sufficient funds; but the letter provides some hope through the General Assembly. He then noted that a letter was received from the Board of Equalization which indicated the Chairman was surprised that more people did not appeal their assessments and that only one of the individuals appealing said they would sell their house at the assessed value. Mr. Shepperd stated he was glad to hear the issue about the Boys and Girls Club was raised. He noted he also met with some of the business community promoting this, and for it to occur, it will take the support of the people in the community.

Meeting Adjourned. At 9:22 p.m. Chairman Shepperd declared the meeting adjourned to 7:00 p.m., Tuesday, September 14, 2004, in the East Room, York Hall, for the purpose of conducting a work session.

James O. McReynolds, Clerk
York County Board of Supervisors

Thomas G. Shepperd, Jr., Chairman
York County Board of Supervisors